525 Rec'd PCT/PTO 13 SEP 2000

FORM PTO-1390
(REV. 1-98)

U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER	859-105P
U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	09/646039 NEW

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/DK99/00128	March 12, 1999	March 13, 1998

TITLE OF INVENTION
A SIGNAL PROCESSING METHOD TO ANALYSE TRANSIENTS OF SPEECH SIGNALS

APPLICANT(S) FOR DO/EO/US
LEONHARD, Frank Uldall

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☒ has been transmitted by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(3)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.-1449 and International Search Report (PCT/ISA/210)
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
    ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
    1.) Small Entity Statement
    2.) International Preliminary Examination Report (PCT/IPEA/409)
    3.) Thirteen (13) sheets of Formal Drawings

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U.S. APPLICATION NO (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO	ATTORNEY'S DOCKET NUMBER
09/646039 NEW	PCT/DK99/00128	859-105P

17. ☒ The following fees are submitted:

	CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):		
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. **$970.00**		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **$840.00**		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. **$690.00**		
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **$670.00**		
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4). **$96.00**		
ENTER APPROPRIATE BASIC FEE AMOUNT =	$ 840.00	
Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	$ 0	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	27 - 20 =	7	X $18.00	$ 126.00	
Independent Claims	2 - 3 =	0	X $78.00	$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		Yes	+ $260.00	$ 260.00	
TOTAL OF ABOVE CALCULATIONS =				$ 1226.00	
Reduction of ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				$ -613.00	
SUBTOTAL =				$ 613.00	
Processing fee of **$130.00** for furnishing the English translation later than ☐20 ☐30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				$ 0	
TOTAL NATIONAL FEE =				$ 613.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property +				$ 0	
TOTAL FEES ENCLOSED =				$ 613.00	
				Amount to be: refunded	$
				charged	$

a. ☒ A check in the amount of $ 613.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account. No. ___________ in the amount of $_______ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2448.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:
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SIGNATURE #32,868

for STEWART, RAYMOND C.
NAME

#21,066 (RCS)
REGISTRATION NUMBER

/cqc September 13, 2000

09/646039
532 Rec'd PCT/PTC 13 SEP 2000
PATENT
859-105P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: LEONHARD, Frank Uldall

Int'l. Appl. No.: PCT/DK99/00128

Appl. No.: New Group:

Filed: September 13, 2000 Examiner:

For: A SIGNAL PROCESSING METHOD TO ANALYSE TRANSIENTS OF SPEECH SIGNALS

PRELIMINARY AMENDMENT

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

September 13, 2000

Sir:

The following Preliminary Amendments and Remarks are respectfully submitted in connection with the above-identified application.

AMENDMENTS

IN THE TITLE:

Please amend the title to read:

--A SIGNAL PROCESSING METHOD TO ANALYSE TRANSIENTS OF SPEECH SIGNALS--

IN THE SPECIFICATION:

Please amend the specification as follows:

Before line 1, insert --This application is the national phase under 35 U.S.C. § 371 of PCT International Application No. PCT/DK99/00128 which has an International filing date of March 12, 1999, which designated the United States of America.--

IN THE CLAIMS:

Please amend the claims as follows:

Claim 4: Line 1, change "any of the preceding claims" to --claim 1--

Claim 5: Line 1, change "any of the preceding claims" to --claim 1--

Claim 6: Line 1, change "any of the preceding claims" to --claim 1--

Claim 7: Line 3, change "any of the preceding claims" to --claim 1--

Claim 9: Line 3, change "any of the preceding claims" to --claim 1--

Claim 11: Line 4: change "any of claims 1-6" to --claim 1--

Claim 12: Line 2, change "any of claims 1-6" to --claim 1--

Claim 18: Line 1, change "any of claims 1-6" to --claim 1--

REMARKS

The specification has been amended to provide a cross-reference to the previously filed International Application. The claims have also been amended to delete improper multiple dependents and to place the application into better form for examination. Entry of the present amendment and favorable action on the above-identified application are respectfully requested.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By #32,868

~~Raymond C. Stewart, #21,066~~

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RCS/cqc
859-105P

(Rev. 04/19/2000)

.pplicant or Patentee: LEONHARD, Frank Uldall Attorney's
∋rial or Patent No.: New Docket No. 859-105P
iled or Issued: September 13, 2000
or: A SIGNAL PROCESSING METHOD TO ANALYSE TRANSIENTS OF SPEECH SIGNALS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

ıs below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under sectic 1(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled A signal processing method to analyse transients of speech signals described in

] the specification filed herewith
X application serial no. PCT/DK99/00128, filed 12 March 1999
] patent no. ______, issued ______

have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention t ›ny person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would nc qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(c).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, conve› or license any rights in the invention is listed below:

[X] no such person, concern, or organization
[ ] persons, concerns or organizations listed below*

***NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)**

FULL NAME ______
ADDRESS ______
[ ] individual [ ] small business [ ] nonprofit organization

FULL NAME ______
ADDRESS ______
[ ] individual [ ] small business [ ] nonprofit organization

FULL NAME ______
ADDRESS ______
[ ] individual [ ] small business [ ] nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to payin or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CF 1.28(b)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; an further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, und section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, c any patent to which this verified statement is directed.

LEONHARD, Frank, Uldall		
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
Frank Leonhard		
Signature of Inventor	Signature of Inventor	Signature of Inventor
1/9 - 2000		
Date	Date	Date

09/646039
532 Rec'd PCT/PTC 13 SEP 2000

A SIGNAL PROCESSING METHOD FOR DETERMINATION OF A PARAMETER OF A SYSTEM GENERATING THE SIGNAL

The present invention relates to a method for determination of a parameter of a system generating a signal containing information about the parameter.

The method may be used for identification of sound or speech signals, such as in speech recognition, or for quality measurement of audio products or systems, such as loudspeakers, hearing aids, telecommunication systems, or for quality measurement of acoustic conditions. The method of the present invention may also be used in connection with speech compression and decompression in narrow band telecommunication.

The method may also be used in analysis of mechanical vibrations generated by a manufactured device during operation e.g. for detection of malfunction of the device.

The method may further be used in electrobiology for example for analysis of neuroelectrical signals such as analysis of signals from an electroencephalograph, an electromyograph, etc.

BACKGROUND OF THE INVENTION

The three documents

HALIJAK C A et al.: "Simple Consequences of the Finite Time Laplace Transform Analysis of the Periodically Reversed Switched Capacitors", CIRCUITS, SYSTEMS, AND SIGNAL PROCESSING, 1985, USA, vol. 4, no. 4, pages 503-511, XP-002105446, ISSN 0278-081X;

BARRETT T W: "The Cochlea as Laplace Analyzer for Optimum (Elementary) Signals", ACUSTICA, Feb. 1978, WEST GERMANY, vol. 39, no. 3, pages 155-172, XP-002105445, ISSN 0001-7884; and

HARBOR R D et al.: "THE LAPLACE TRANSFORM", ENERGY AND INFORMATION TECHNOLOGIES IN THE SOUTHEAST, Columbia, April 9-12, 1989, vol. 1, 9 April 1989, pages 376-379, XP-000076824, IEEE;

offer relevant background art as regards the Laplace transform.

Prior art methods of signal processing are based on a short time Fourier transform of signals and it is assumed that the signals are steady state signals.

In steady state analysis the signal is assumed stationary in the period the signal is analysed and the steady state spectrum is calculated.

In real life steady state signals do not occur and steady state analysis does not provide sufficient knowledge of phenomena within various scientific and technological fields. Consider for example speech analysis. The human ear has the ability to simultaneously catch fast sound signals, detect sound frequencies with great accuracy and differentiate between sound signals in complicated sound environments. For instance it is possible to understand what a singer is singing in an accompaniment of musical instruments.

It is assumed that the cochlea in the human ear can be regarded as comprising a large number of band-pass filters within the frequency range of the human ear.

The time response $f(t)$ for one band-pass filter due to an excitation can be separated into two components, the transient response, $f_t(t)$, and the steady state response, $f_s(t)$, $f(t)=f_t(t)+f_s(t)$.

Traditional signal processing is based on the steady state response $f_s(t)$, and the transient response $f_t(t)$ is assumed to vanish very fast and to be without importance for the perception, see for example "Principles of Circuit Synthesis", McGraw-Hill 1959, Ernest

S. Kuh and Donald O. Pederson, page 12, lines 9-15, where it is stated that:

"only the forced response is considered while the response due to the initial state of the network is ignored".

Thus, when students are introduced to the world of signal analysis, they learn that the transient response, i.e. the response due to the initial state of the network should be ignored because it vanishes within a very short period of time. Furthermore, it is rather difficult to analyse these transient signals by use of traditional linear methods of analysis.

The ability of the human ear to hear very short sounds and at the same time detect frequencies with great accuracy is in conflict with the traditional filterbased spectrum analysis. The time window (twice the rise time) of a band-pass filter is inversely proportional to the bandwidth, $tw=2/(f_u-f_l)$, where f_l is the lower cut-off frequency and f_u is the upper cut-off frequency.

Thus, if a rise time of 5 ms is required the consequence is that the frequency resolution is no better than 400 Hz.

As the detection of these transients is in conflict with a high frequency resolution, the detecting by the human ear of these transients must take place in an alternative manner. It has not been examined how the human ear is able to detect these signals, but it might be possible that the cochlea, when no sounds are received, is in a position of rest, where the cochlea will be very broad-banded. When a sound signal is received, the cochlea may start to lock itself to the frequency component or components within the signal. Thus, the cochlea may be broad-banded in its starting position, but if one or more stable frequencies are received the cochlea may lock itself to this frequency or these frequencies with a high accuracy.

Today it is known that the nerve pulses launched from the cochlea are synchronized to the frequency of a tone if the frequency is less than about 1.4 kHz. If the frequency is higher than 1.4 kHz the pulses are launched randomly and less than once per cycle of the frequency.

Signal processing based on filter bank spectrum analysis is disclosed in GB 2 213 623, which describes a system for phoneme recognition. This system comprises detecting means for detecting transient parts of a voice signal, where the principal object of the transient detection is the detection of a point where the speech spectrum varies most sharply, namely, a peak point. The detection of the peak points is used for more precise phoneme segmentation. The transient analysis of GB 2213623 is based on a spectrum analysis and the change in the spectrum, which is very much different to the transient analysis of the present invention, which is based on a direct transient detection in the time domain.

SUMMARY OF THE INVENTION

The present invention provides an approach, which is different in principle from all known methods for processing signals. The approach taken and some of the results obtained will be explained by of an example in the context of analysis of speech signals.

Speech is produced by means of short pulses generated by the vocal chords in the case of voiced speech and by friction in the vocal tract in the case of unvoiced speech. The pulses are filtered by the vocal tract that acts as a time-varying filter. The output response will consist of quasi steady state terms and also transient terms. The quasi steady state terms will only be damped slightly in the period before the next pulse is generated. The transient terms will be sufficiently damped in the time period before the next pulse is generated.

The speech signal is often assumed to have only quasi steady state terms in the period or time window of the analysis, typically 20-30 ms.

The placement of formants, the formants being energy bands in the short time power spectrum, are calculated by means of a short time spectrum analysis has previously been assumed decisive for speech intelligibility, together with voiced/unvoiced detection, the pitch and the quasi steady state power.

However, a number of observations, which has been performed within the field of auditory perception research, does not conform to the previous assumptions:

Why is it possible to understand and identify a deep male voice through communication channels that have a higher cut-off frequency than the male pitch.

The only difference between the pronunciation of the letters: e, b, d is in the first 1-3 ms of the voice signal and this information will be lost if the analysis have a time window of 20-30 ms.

How can the absolute placement of these formants be decisive when their placement is quite different for different people, particularly between small children and large males.

Why is distortion dominated by odd order harmonics and caused by cross-over distortion in a class B amplifier much more disturbing than distortion dominated by even order harmonics caused by amplitude distortion in a class A amplifier.

The short time power spectrum will not distinguish frequencies from different sources, and tones generated by other sources than the speech signal will act like false formants.

Why does a signal consisting of three tones with the same frequencies as the formants for a vowel not give the slightest perception of the vowel at all? The signal just sounds like three separate tones.

Why is the ear very sensitive to frequency changes of a signal up till about 1000 Hz, changes of +/- 3 Hz can be detected. For frequencies above 1000 Hz, the sensitivity is much smaller.

The research performed by the present applicant leads to suggest that the ear is tone dominant until about 1.4 - 1.6 kHz and transient dominant above. Tone dominant means that the pulses launched from the hair cells as a response to a tone signal are synchronised to the tone signal. Transient dominant means, in the present context, that the hair cells are activated by changes of the energy with rise and fall times of at most 2 ms typical caused by transient pulses.

Regarding speech signals, it is assumed that the quasi steady state terms are in the tone dominant interval of the ear and that the transient terms are in the transient dominant interval. It is believed that the transient terms are very important for speech intelligibility. The transient terms are seen as transient pulses in the speech signal. The rise time and the shape of leading and lagging edges of the envelope of transient pulses in the terms of a profile of damped frequencies describes the sound picture. The shape of the leading and lagging edges, the dynamic changes, change of amplitude, of the transient pulses, voiced/unvoiced detection and the changes of pitch are decisive for speech recognition.

This approach provides a number of advantages with respect to explaining the earlier mentioned speech perception observations.

A natural explanation as to why it is possible to understand and identify a deep male voice through communication channels that have

a higher cut-off frequency than the male pitch is provided. The pitch can be detected as the period between transient pulses.

The absolute placement of formants is not decisive. The damped frequencies profile of the shape of the transient pulse envelope is dominated by damped difference frequencies of the transient terms.

Distortion caused by cross-over distortion in a class B amplifier generates abrupt energy changes (unwanted transients) which are much more disturbing than distortion caused by amplitude distortion in a class A amplifier which do not generate the same abrupt energy changes.

Robust data- or telecommunication is based on modulation. The envelope of transient pulses is a kind of amplitude modulation, transient or impulse response modulation, and will have the same advantages.

It is unlikely that frequencies from other sources will cause interference patterns with the speech signal that gives energy changes with time constants and shapes in the range that is decisive for speech intelligibility. This means that transient modulation will be robust in noisy environments and communication channels.

The ear is probably very sensitive to changes of a frequency up till about 1000 Hz because the nerve pulses are synchronised to the frequency and the period between the pulses is a measure for the frequency. In the high frequency range, where the pulses are not synchronised to the frequency, only placement of the frequency in the cochlea is a measure for the frequency.

According to the invention it has for example been found that the signal information relevant to recognition of speech is present in a transient part of the speech signal. Thus, the method of the present invention may involve a separation of the transient part of

an auditory signal, a generation of a transient pulse corresponding to the transient part, and analysis of the shape of the pulse. In an auditory signal, the corresponding transient pulse may be repeated with time intervals, and the time interval of these periodic transient pulses is normally also analysed or determined.

In real life, the human ear reacts to energy changes at high frequencies in order to recognise phonemes or sound pictures. But in the present method transient pulses corresponding to the energy changes observed by the ear are extracted at these high frequencies, wherefore the transient pulses preferably are transformed to the low frequency range still maintaining the distinct features of the sound pictures or phonemes. Thus, by using the principles of the invention, it is possible to obtain distinct features within auditory signals by examining the transformed low frequency signals.

The invention relates to the use of the shape of energy changes of a signal for identifying or representing features of the system generating the signal for example in recognition of sound features which can be perceived by an animal ear such as a human ear as representing a distinct sound picture are determined.

The method of the present invention provides an expression for the transient conditions of the auditory signal. The method comprises a band-pass filtration of an auditory signal within the frequency range of the human ear and a detection of a low-pass filtered envelope, which envelope then can be analysed with known methods of signal analysis. The envelope is an expression of the transient part of the signal.

The method of signal analysis, which should be used when analysing the envelope, and the characteristics of the band-pass filter, which should be selected, will depend on the purpose of the analysis. The purpose may be speech recognition, quality-

measurement of audio products or acoustic conditions, and narrow band telecommunication.

The invention also relates to a system for processing a signal to reduce the bandwidth of the signal with substantial retention of the information of the signal. The system may further comprise means for extracting the transient component of the auditory signal, and it may comprise means for detecting an envelope of the transient component.

A signal may be separated into a sum of impulse responses generated by poles and zeroes in the system that has generated the signal, if the time between the excitation pulses are sufficient long compared to the duration of the impulse responses for the system.

In WO 94/25958 it is shown that the envelope of the transient component in a speech signal is very important for its recognition and it is shown that the envelope of the impulse response will contain exponential functions and difference frequencies defined by the impulse response.

A method based on damped sinus functions to extract important features from the envelope signal is described, and examples where the method is used on speech signals shows that the features are important in speech analysis.

Before entering into a more detailed explanation of features of the method of the invention, a few definitions will be given:

In short time analysis the transient component in a signal is a matter of definition. For auditory signals, the idea is to obtain an expression that gives a response corresponding to the response in the cochlea to an abrupt change in the signal energy. An abrupt change in the signal energy corresponds to the transient component in the auditory signal. Thus, in the present context, the term "transient component" designates any signal corresponding to an

abrupt energy change in an auditory signal. The transient component holds the signal information to be analysed and in order to analyse this information the transient component may be transformed to a corresponding transient pulse having a distinct shape. Thus, in the present context, the term "transient pulse" refers to a pulse having a distinct shape and substantially holding the information of the transient component of the auditory signal and thus corresponding to an abrupt change in the energy of the auditory signal. As mentioned above the transient part of a sound signal may be repeated with time intervals and thus, in the present context, the term "periodic" when used in combination with a transient component, response or pulse designates any transient component, response or pulse being repeated with intervals.

The term "shape" designates any arbitrary time-varying function (which is time-limited or not time-limited) and which, within a given time interval T_p has a distinctly different amplitude level in comparison with the amplitude level outside the interval. Thus, T_p is the duration of the shape function when the shape function is time-limited, or the duration of the part of the function which has a distinctly different amplitude level in comparison with the amplitude level outside the time interval.

In order to extract information from the shape of the energy changes, one broad aspect of the invention relates to represent the shape of the energy changes by the short time Laplace transform of a transient pulse of the signal. However, several methods can be applied in order to obtain a transient pulse corresponding to the change in energy, but it is preferred that an envelope detection is being used, where the envelope preferably should be detected from a transient response of the energy change in the auditory signal.

The energy change representing the distinct sound picture can be a phoneme or vowel or any other sound which gives a sudden energy change in an auditory signal.

It is also an aspect of the invention to provide a method for identifying, in an auditory signal, energy changes which can be perceived by an animal ear such as a human ear as representing a distinct sound picture, the method comprising comparing the shape of energy changes of the signal with predetermined energy change shapes representing distinct sound pictures. For the identification it is preferred that the shape of the energy changes are represented by the shape of a transient pulse of the signal, and it is furthermore preferred that the shape of the transient pulse should be obtained by an envelope detection of a transient response of the energy change in the auditory signal.

The invention also relates to a method for processing a signal so as to reduce the bandwidth of the signal with substantial retention of the information of the signal, comprising extracting a transient part of the signal. The method may further comprise detecting an envelope of the transient part of the signal.

Known methods of processing signals are based on a short time Fourier transform of signals, and it is assumed that the signals are steady state signals.

In steady state analysis the signal is assumed stable in the period the signal is analysed, and the steady state spectrum is calculated.

In WO 94/25958 it is disclosed that transient pulses are important for speech coding and decoding in narrow band communication, for speech recognition and synthesis, and for sound quality in auditory products (i.e. loudspeakers, amplifiers and hearing aids).

An important part of a transient signal is the exponential functions or damping ratios or time constants. The damping ratio is the reason that the impulse response has a finite duration. The fact that the transient signal is important for auditory perception indicates that the response from the hair cells is dependent on the

time constants. If this is the case, it is possible that the damping ratios in the response from nerve cells in general are important for the human nerve system.

Transient signals are also important in many other applications, among others signals generated by impacts from defects in rolling bearings and gearboxes.

Based on the transient signal, it is possible to determine the natural time constants and frequencies in the system generating the signal. Further it is possible to determine the excitation pulses of the system.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows a time-domain representation of a linear time-invariant system,

Fig. 2 shows the impulse response of a Butterworth low-pass filter of 3. order and a cut-off frequency at 700 Hz,

Fig. 3 shows the response with the filter relaxed for $t < 0$ and with a 4000 Hz tone as input at $t \geq 0$,

Fig. 4 shows the s-plane with poles and the zero for $H(\sigma,\omega)$,

Fig. 5 shows $H(\sigma,\omega)$ for ω_1 and ω_2 analysed parallel with the σ axis,

Fig. 6 shows transient characteristics in speech signals,

Figs. 7-12 show processed speech signals,

Fig. 13 shows a schematic of a filter bank according to the present invention.

DETAILED DESCRIPTION OF THE DRAWINGS

The importance of the transient part of a signal has been an overlooked phenomenon in signal analysis.

The response of a linear system to either an impulse or a step function is defined by its transient response properties.

The relationship between the input and the output for the linear time-invariant system shown in Fig. 1 can be written as the convolution of the input signal and the impulse response of the system:

$$v_o(t) = \int_{-\infty}^{t} v_i(x)h(t-x)dx \qquad (1)$$

If the system is initially relaxed and the input signal $v_i(t)$ is zero for $t < 0$ then the lower integration limit of Eq. (1) can be replaced with zero. Eq. (1) then shows the important role played by the impulse response in terms of the actual signal processing that is performed by the system. It states that the input signal is weighted or multiplied by the impulse response at every instant in time and, at any specific point in time, the output is the summation or integral of all past weighted inputs.

The impulse response of a real system has a finite duration and the transient response has the same duration. Fig. 2 shows the impulse response of a Butterworth low-pass filter of 3. order and a cut-off frequency at 700 Hz. Fig. 3 shows the response with the filter relaxed for $t < 0$ and with a 4000 Hz tone as input at $t \geq 0$.

In many processes $v_i(t)$ will be a pulse with a short duration and $v_i(t) \approx 0$ before the next pulse will be generated.

The Laplace transform of a signal $v(t)$ is defined by

$$L(s) = \int_0^\infty v(t)e^{-st}dt \qquad (2)$$

$$= \int_0^\infty v(t)e^{-(\sigma + j\omega)t}dt$$

If $v(t)$ is the impulse response $h(t)$ for a system with 2 complex poles

$$h(t) = e^{-(\sigma_0 + j\omega_0)t} + e^{-(\sigma_0 - j\omega_0)t}, \quad t > 0 \qquad (3)$$

and 0 for $t < 0$ and $s \neq -(\sigma_0 \pm j\omega_0)$.

The Laplace transform is

$$H(s) = \frac{s + \sigma_0}{(s + \sigma_0 + j\omega_0)(s + \sigma_0 - j\omega_0)}$$

or

$$H(\sigma,\omega) = \frac{\sigma + \sigma_0 + j\omega_0}{(\sigma + \sigma_0 + j(\omega + \omega_0))(\sigma + \sigma_0 + j(\omega - \omega_0))} \qquad (4)$$

From Eq.(4) it is seen that for $(\sigma,\omega) \to (-\sigma_0, \pm\omega_0)$, $H(\sigma,\omega) \to \pm\infty$.

This is a well-known phenomenon and a logical consequence of this is as follows:

If the signal analysed is dominated by the impulse response of the system generating the signal, it is possible to determine the natural time constants and frequencies for the system.

Fig. 5 shows a plot of $H(\sigma,\omega)$ for $\omega = \omega_1$ and $\omega = \omega_2$.

Analysing a signal along or parallel with the $j\omega$ axis will give a frequency profile for a given σ.

Analysing a signal along or parallel with the σ axis will give a time constant profile for a given $j\omega$.

If a signal has a time constant profile with significant variations for specific frequencies, the signal is transient dominated. Opposite if the signal does not vary significantly for any frequency, the signal is steady state dominated.

A short time Laplace transform is defined by:

$$L(\sigma,\omega,t) = \int_0^t v_i(t-\lambda)e^{-(\sigma+j\omega)\lambda}d\lambda \qquad (5)$$

in which v_i is the signal, L is the transformed signal, σ is a time constant, and ω is an angular frequency.

It is not possible to calculate the short time Laplace transform in the same way as DFT in the discrete time domain because two arbitrary exponential functions, e^{at} and e^{bt}, are not orthogonal with respect to each other.

The short time Fourier analysis in the analogue time domain is based on a filter bank method. In this paper an equivalent method will be developed for the Laplace transform.

From Eq.(1) and Eq.(3):

$$v_o(t) = \int_0^t v_i(t-\lambda)e^{-(\sigma+j\omega)\lambda}d\lambda$$

$$+\int_0^t v_i(t-\lambda)e^{-(\sigma-j\omega)\lambda}d\lambda \qquad (6)$$

$$v_o(t)=V(\sigma,\omega,t)+V^*(\sigma,\omega,t)=u(t)+u^*(t)$$

where $u^*(t)$ is the complex conjugate of $u(t)$ and we have

$$\mathrm{Re}\big[L(\sigma,\omega,t)\big]=\tfrac{1}{2}v_o(t) \qquad (7)$$

From Eq.(6) and Eq.(7) it is seen that filtering the signal $v_i(t)$ by a filter with the impulse response $h(\sigma,\omega,t)$ with 2 complex poles will represent the reel part of the short time $L(\sigma,\omega,t)$ transform.

If we let $v_i(t)$ be equal to the impulse response of a single pole we have

$$\begin{aligned}u(t)&=\int_0^t ke^{-(\sigma_0+j\omega_0)(t-\lambda)}e^{-(\sigma+j\omega)\lambda}d\lambda\\&=ke^{-(\sigma_0+j\omega_0)t}\int_0^t e^{(\sigma_0+j\omega_0)\lambda}e^{-(\sigma+j\omega)\lambda}d\lambda \qquad (8)\\&=\frac{k(e^{-(\sigma+j\omega)t}-e^{-(\sigma_0+j\omega_0)t})}{(\sigma-\sigma_0)+j(\omega-\omega_0)}\end{aligned}$$

and from Eq.(7) we have

$$\begin{aligned}v_o(t)=&-\frac{2k(\sigma-\sigma_0)(e^{-\sigma t}\cos(\omega t)-e^{-\sigma_0 t}\cos(\omega_0 t))}{(\sigma-\sigma_0)^2+(\omega-\omega_0)^2}\\&+\frac{2k(\omega-\omega_0)(e^{-\sigma t}\sin(\omega t)-e^{-\sigma_0 t}\sin(\omega_0 t))}{(\sigma-\sigma_0)^2+(\omega-\omega_0)^2} \qquad (9a)\end{aligned}$$

or

$$\frac{v_o(t)}{2k}=\frac{e^{-\sigma_0 t}((\sigma-\sigma_0)\cos(\omega_0 t)-(\omega-\omega_0)\sin(\omega_0 t))}{(\sigma-\sigma_0)^2+(\omega-\omega_0)^2}$$

$$\frac{-e^{-\sigma t}((\sigma-\sigma_0)\cos(\omega t)-(\omega-\omega_0)\sin(\omega t))}{(\sigma-\sigma_0)^2+(\omega-\omega_0)^2} \qquad (9b)$$

Eq.(9) is not defined for $(\sigma,\omega)=(\sigma_0,\omega_0)$ but from (8) we have in this case

$$u(t)=ke^{-(\sigma_0+j\omega_0)t}\int_0^t d\lambda$$

$$=kte^{-(\sigma_0+j\omega_0)t}$$

and

$$v_o(t)=2kte^{-\sigma_0 t}\cos(\omega_0 t) \qquad (10)$$

and we have $v_o(t)\to 0$ for $t\to\infty$.

Eq.(9) shows that the gain is inversely related to $\sigma-\sigma_0$ and $\omega-\omega_0$, and when (σ_0,ω_0) is far from (σ,ω) and $e^{-\sigma t}-e^{-\sigma_0 t}$ is small, $v_o(t)\approx 0$. For $(\sigma_0,\omega_0)\leftarrow(\sigma,\omega)$ $v_o(t)$ will have Eq.(10) as the limit. It is not immediately to see if Eq.(9) has the maximum energy for $(\sigma_0,\omega_0)\leftarrow(\sigma,\omega)$.

In the DC domain Eq.(9) can be written as

$$v_o(t)=2k\frac{(e^{-\sigma_0 t}-e^{-\sigma t})}{\sigma-\sigma_0} \qquad (11)$$

The maximum for $v_o(t)$ can be found as follows

$$\frac{dv_o}{dt}=\frac{1}{\sigma-\sigma_0}\left[\sigma e^{-\sigma t}-\sigma_0 e^{-\sigma_0 t}\right]=0$$

when

$$t_m = \frac{\log(\sigma) - \log(\sigma_0)}{\sigma - \sigma_0} \qquad (12)$$

and Eq.(11) will have the maximum for this value.

It can be shown that $t_m \to \frac{1}{\sigma_0}$ when $\sigma \to \sigma_0$.

When $\sigma \approx \sigma_0$ we will have the approximated maximum with $t = \frac{1}{\sigma_0}$

$$v_o(\tfrac{1}{\sigma_0}) = 2k\frac{(e^{-1} - e^{-\frac{\sigma}{\sigma_0}})}{\sigma - \sigma_0} \qquad (13)$$

From Eq.(13) it can be shown that

$$v_o \to \frac{2ke^{-1}}{\sigma_0} \text{ for } \sigma \to \sigma_0$$

In Eq.(11) $e^{-\sigma_0 t}$ represent the signal to be analysed and $e^{-\sigma t}$ the filter. Table 1 shows the result with a filter having $\sigma = 100\ s^{-1}$ and the signal varying from 1 to 10000 s^{-1}

It is not surprising that the convolution acts as a low-pass filter. The important fact is that the exponential function in the DC domain in some way acts as frequencies do in the frequency domain.

In table 1 $v_{o1}(t_m)$ is the result of a convolution where the signal is differentiated. The result is, as expected, a high-pass filter.

If we look on Eq.(9a) without exponential functions it can be written as

$$v_0(t) = \frac{2k(\sin(\omega t) - \sin(\omega_0 t))}{\omega - \omega_0} \quad (14)$$

it is seen that for $\omega \to \infty$ we will have $v_o \to 0$.

$\sigma : 100\ s^{-1}$			
σ_0	t_m	$v_o(t_m)$	$v_{o1}(t_m)$
s^{-1}	s		
1	0,046516871	0,954548457	0,009545485
10	0,025584279	0,774263683	0,077426368
100	0,010000000	0,367879441	0,367879441
1000	0,002558428	0,077426368	0,774263683
10000	0,000465169	0,009545485	0,954548457

Table 1 $v_o(t_m)$ is given by Eq.(11, 12) and normalised by σ and 2k. $v_{o1}(t_m)$ is a convolution where the signal is differentiated and normalised by 2k.

For $\omega << \omega_0$ we will have

$$v_o \cong \frac{2k(\sin(\omega t) - \sin(\omega_0 t))}{\omega_0} \quad (15)$$

It can be shown that for $\omega \to \omega_0$ we will have

$$v_o(t) \to 2kt\cos(\omega_0 t) \quad (16)$$

This result is as expected unstable.

In transient analysis only the beginning of the signal is of interest, and if $\omega_0 >> 1$ Eq.(14) will act as a band-pass filter.

Speech processing is based on fast energy pulse generated by the vocal cords or by friction in the articulation channel weighted by

the impulse response in the articulation channel. The rise time for the excitation pulses has to be sufficient faster than the rise time of the energy of the impulse response.

The shape of energy pulses are important features in speech. If the time between the pulses is periodical it is voiced speech, and if not it is unvoiced speech. For some phonemes abrupt changes in the energy pulses are important.

From WO 94/25958 it is known that the shape of the energy pulses are important for speech recognition, especially the leading edge. In the following a method to extract features will be developed based on an envelope detection.

The convolution expressed in Eq.(9) can be regarded as a response from 2 poles in the articulation channel excited by an impulse. If $\sigma_0 \approx \sigma$ we have from Eq.(9a)

$$v_o(t) \cong \frac{e^{-\sigma t}}{(\omega - \omega_0)}\left(\sin(\omega t) - \sin(\omega_0 t)\right) \qquad (17)$$

The envelope is defined as

$$e(t) = \sqrt{u^2(t) + \bar{u}^2(t)}$$

where

$$\bar{u}(t) = u(t) * \frac{1}{\pi t}$$

is the Hilbert Transform.

The envelope of Eq.(17) is then

$$e_o(t) = \frac{e^{-\sigma t}}{|\omega - \omega_0|}\sqrt{(\sin(\omega t) - \sin(\omega_0 t))^2 + (-\cos(\omega t) + \cos(\omega_0 t))^2}$$

$$= \frac{e^{-\sigma t}}{|\omega - \omega_0|}\sqrt{2(1-\cos(\omega-\omega_0)t)}$$

$$\cong \frac{\sqrt{2}e^{-\sigma t}}{|\omega - \omega_0|}\left(1-\tfrac{1}{2}\cos((\omega-\omega_0)t)\right) \tag{18}$$

The approximation is acceptable because $|\cos((\omega-\omega_0)t)| \leq 1$

As expected the envelope has a component with the difference frequency of the 2 frequencies.

The conclusion is that we can expect to find damped difference frequencies in the envelope of the transient component.

To detect the damped difference frequencies a filter bank is used. The features might be detected as a convolution between the transient pulse and the impulse response of the filters.

In general form the impulse response can be written as

$$h(t) = ke^{-\lambda t}\sin(f(\lambda)t+\phi)$$

Where $\sigma = \lambda$ and $\omega = f(\lambda)$.

In the following analysis $f(\lambda) = 1.5\lambda$, $k = \omega = 1.5\lambda$, and $\phi = 0$ are selected and we have

$$h(t) = 1.5\lambda e^{-\lambda t}\sin(1.5\lambda t) \tag{19}$$

By selecting $\omega = 1.5\sigma$ Eq.(19) will act as a band-pass filter with a low Q in relation to the frequencies. Other ratios ω/σ than 1.5 may be selected and it is presently preferred that the ratio (ω/σ) ranges from 0.5 to 2.5. The exponential function gives the advance

that it acts like natural time window that ensure that the signal is natural damped. The value of the parameters are selected by studying rise times in important transient pulses and by experiments.

Fig. 6 shows transient characteristics in speech signals. The top figure shows 50 ms of an "a" in "hard key" pronounced by a female.

The second signal is a band-pass filtration of the speech signal. The band-pass filter is a Butterworth filter with 6 poles and a band width from 2150 to 3550 Hz. This frequency band contains important transient pulses in the sensitive frequency interval of the ear.

The third signal is a energy detection of the transient characteristics of the band-pass filtered speech signal. The detection is an envelope detection performed by means of a rectification and a low-pass filtration of the signal. The filter is a Butterworth filter with 3 poles and a cut-off frequency at 700 Hz.

In WO 97/09712 a method for automatically detecting the leading edges is disclosed. The method uses the maximum slope of the leading edge as reference, and the point before the maximum slope where the slope is less than a given threshold (10-20 % of the maximum slope) the leading edge is defined to begin.

The transient (envelope) signal in Fig.(6) has a DC component, which does not contain any information. Therefore it is preferred that the signal is differentiated before it is analysed e.g. by the filter bank shown in Fig. 13.

In Fig. 13, the filters ($h_1(t)$, $h_2(t)$,..., $h_n(t)$) in the filter bank connected between the input and the envelope detectors are band-pass filters having bandwidths corresponding to the bandwidths of

the band-pass filters of the cochlea and having centre frequencies ranging from 1400 Hz to 6500 Hz.

The output signals $o_{ij}(p)$ from the filter bank shown in Fig. 13 is calculated by:

$$h_{ij}(p) = 1.5\lambda_m e^{-\lambda_m p}\sin(\lambda_m p)\,, \qquad i=0,1,\ldots,N-1 \quad j=0,1,\ldots,M-1$$

$$h_{ij}(p) = 0\,, \qquad p < 0$$

$$o_{ij}(p) = \sum_{k=0}^{P-1} t'(k) h_m(p-k)\,, \qquad p=0,1,\ldots,P-1$$

$m=0,1,\ldots,M-1$ and M is the number of band-pass filters with a low Q in the filter bank connected between the outputs and the envelope detectors, $p = 0,1,\ldots,P-1$ is the sample number, t' is the differentiated transient signal, and λ_m is the filter bank parameter and it is normalised by the sampling frequency.

In the analysis M is selected to 10 and $1500 \le \lambda'_m \le 12000$ s^{-1}, λ'_m is not normalised. By this we have $1885 \le \omega_m \le 18850$ s^{-1} or $300 \le f_m \le 3000$ Hz.

This filtering process is not done in the cochlea but in the hair cells or in the nerve system behind the hair cells.

The Figs. 7, 8, 9, 10, 11, and 12 show the output of the processing of transient signals in the vowels "a", "o", "i" in "hard key" and "soft key" pronounced by a female and a male. Further the figures show plots of maxima of the output signals as a function of the time constant σ of the corresponding filter.

The figures show that maximum curves are very much alike for the same vowels, independent of whether a female or male pronounces it.

With a library of templates and a distance measure it is possible to identify the sound picture, and it can be used for speech recognition and narrow band communication.

Thus, according to the invention a method and an apparatus are provided for determination of a parameter of a system generating a signal containing information about the parameter, in which the signal is short time transformed substantially in accordance with

$$L(\sigma,\omega,t) = \int_0^t v_1(t-\lambda)e^{-(\sigma+j\omega)\lambda+\varphi}d\lambda$$

in which v_1 is the signal, L is the transformed signal, σ is a time constant, ω is an angular frequency, and φ is a phase, or, in accordance with another transformation which will give rise to an $L'(\sigma,\omega,t)$ which in time intervals within which $L(\sigma,\omega,t)$ is larger than 10% of its maximum value is not more than 50% different from the result given by the short time Laplace transformation.

In narrow band communication the transient pulses have to be identified and coded, and the decoder will contain a library of filters with corresponding transient responses. The decoder library could also contain the transient responses.

The present invention also relates to measurement of mechanical vibrations e.g. when testing devices that generate mechanical energy during operation, such as mechanical devices with moving parts, such as compressors for refrigerators, electric motors, household machines, electric razors, combustion engines, etc, etc.

For example, it is known that measurement of vibration generated or sound emitted by a device during operation can be useful for detection of malfunction of the device. Certain failures may

generate sound or vibration of specific characteristics that can be recognised.

The method may also comprise steps of classification for classifying a tested device in accordance with the determined parameters into one class of a set of predefined classes. Each predefined class may be defined by a set of upper and lower limits for specific parameters determined according to the method. A device may then be classified as belonging to a certain class if its corresponding parameter values lie within corresponding upper and lower limits of the class.

Each class may correspond to a specific type of failure of the device. For example, shaft imbalance, wheel imbalance, crookedness, imperfections of teeth in cogs, tight bearing, loose bearings, etc, may cause the device to vibrate in different characteristic ways, whereby a characteristic mechanical vibration or sound is generated for each type of failure. The type of failure of the device may then be detected by comparing determined device parameters with corresponding parameter values of various predetermined classes.

The upper and lower limits of a specific class of devices may be determined by testing a set of devices known to belong to that class. For example, the upper limits may be determined as the average of specific parameter values plus three times the standard deviation. Likewise, the lower limits may be determined as the average of parameter values minus three times the standard deviation.

CLAIMS

1. A method for determination of a parameter of a system generating a signal containing information about the parameter, comprising the step of short time transforming the signal substantially in accordance with

$$L(\sigma,\omega,t) = \int_0^t v_i(t-\lambda)e^{-(\sigma+j\omega)\lambda+\varphi}d\lambda$$

in which v_1 is the signal, L is the transformed signal, σ is a time constant, ω is an angular frequency, and φ is a phase.

2. A method according to claim 1, wherein the step of transforming comprises filtering the signal v_1 with a filter having a pole at σ + jωt and a pole at σ - jωt.

3. A method according to claim 1 or 2, comprising steps of transforming the signal v_1 for a plurality of sets of σ and ω values.

4. A method according to any of the preceding claims, further comprising the step of determining a maximum of at least one transformed signal L(σ,ω,t).

5. A method according to any of the preceding claims, further comprising the step of comparing transformed signals L with corresponding reference signals in order to determine parameters of the system.

6. A method according to any of the preceding claims, further comprising a step of pre-processing the signal before the step of short time transforming, the pre-processing being selected from the

group consisting of filtering, rectification, differentiation, integration, and amplification.

7. A method of transmitting a signal containing information of a set of parameters of a system generating the signal, comprising processing the signal according to any of the preceding claims and further comprising the step of transmitting the determined parameter values.

8. A method according to claim 7 further comprising the step of generating a copy of the signal from the transmitted parameter values.

9. A method of transmitting a signal containing information of a set of parameters of a system generating the signal, comprising processing the signal according to any of the preceding claims and further comprising the steps of

comparing the signal with a library of signals generated for a predetermined set of parameter values by the system,

selecting the library function that constitutes the best match to the signal, and

transmitting an identification signal that identifies the matching library function.

10. A method according to claim 9, further comprising the steps of receiving the identification signal and generating the corresponding library signal.

11. A method of classifying a system according to one or more parameters of the system generating a signal containing information about the one or more parameters, comprising determining the one or more parameters according to any of claims 1-6 and further comprising the step of classifying the system in accordance with

the one or more determined parameters into one class of a set of predefined classes defined by predetermined ranges of values of the parameters.

12. A method for communicating an auditory signal, comprising processing the signal by the method according to any of claims 1-6, transmitting the processed signal, and receiving the processed signal by a receiver.

13. A method according to claim 12, wherein, prior to transmission of the processed signal, the signal is coded into a digital representation, and the coded signal is decoded in the receiver so as to reestablish transient pulse shapes perceived by an animal ear such as a human ear as representing the distinct sound pictures of the auditory signal.

14. A method according to claim 13, wherein the digital transmission is performed at a bandwidth of at the most 4000 bits per second.

15. A method according to claim 14, wherein the bandwidth is at the most 2000 bits per second.

16. A method according to claim 15, wherein the bandwidth is in the interval of 800-2000 bits per second.

17. A method according to any of claims 13-16, wherein a second and further pulses in a sequence of identical pulses are represented by a digital value indicating repetition.

18. A method according to any of claims 1-6, comprising filtering the signal v_1 in a filter bank comprising a plurality of band-pass filters interconnected in parallel with centre frequencies ranging from 1400 Hz to 6500 Hz, each of which is connected in series with an envelope detector and a filter bank comprising a plurality of low-pass filters interconnected in parallel and having cut-off

frequencies ranging from 300 Hz to 3000 Hz and time constants σ ranging from 1500 s^{-1} to 12000 s^{-1}.

19. An apparatus for determination of a parameter of a system generating a signal containing information about the parameter, comprising a processor that is adapted to short time transform the signal substantially in accordance with

$$L(\sigma,\omega,t)=\int_0^t v_i(t-\lambda)e^{-(\sigma+j\omega)\lambda+\varphi}d\lambda$$

in which v_1 is the signal, L is the transformed signal, σ is a time constant, ω is an angular frequency, and φ is a phase.

20. An apparatus according to claim 19, wherein the processor comprises a filter for filtering the signal v_1 and having a pole at σ + jωt and a pole at σ - jωt.

21. An apparatus according to claim 19 or 20, wherein the processor comprises a plurality of filters for filtering the signal v_i, each filter having a different set of σ and ω values.

22. An apparatus according to claim 19, wherein the apparatus comprises a communication channel transmitter, and the processor is adapted to determine the one or several parameters of the system, and

to transmit the one or several system parameters over a wireless or a cable communication channel.

ABSTRACT

The present invention is related to a method and an apparatus for determination of a parameter of a system generating a signal containing information about the parameter. The method comprises the step of short time Laplace transforming the signal and may be utilised for classifying the system in question in accordance with one or more determined parameters into one class of a set of predefined classes defined by predetermined ranges of values of the parameters. The invention also relates to the use of a shape of energy changes of a signal for identifying or representing features of the system generating the signal. This use may be applied to recognition of sound features perceivable by e.g. a human ear as representing a distinct sound picture. It has for example been found that the signal information relevant to recognition of speech is present in a transient part of the speech signal.

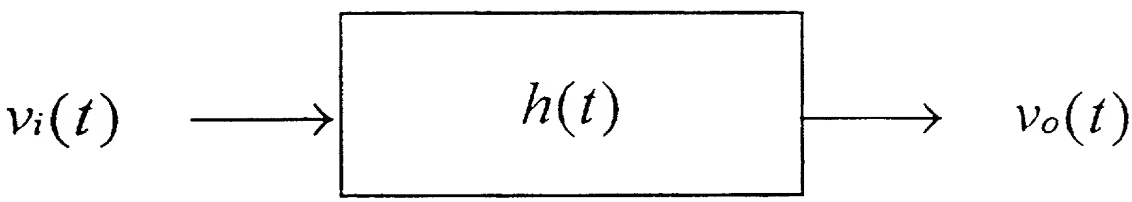


Fig. 1

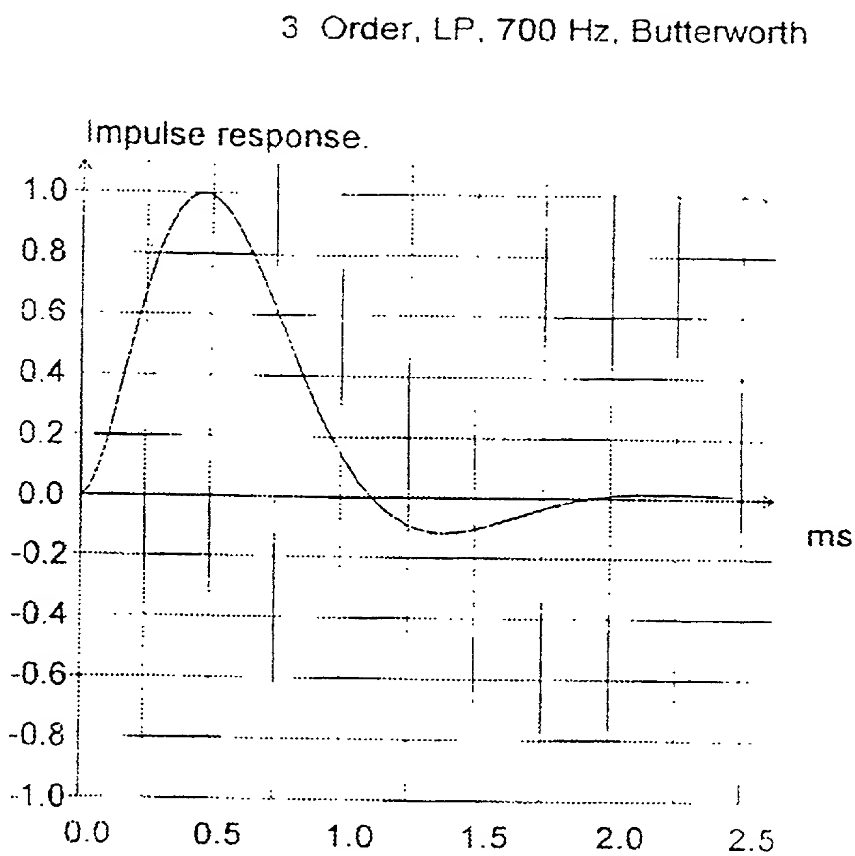

3 Order, LP, 700 Hz, Butterworth
Impulse response.
1.0
0.8
0.6
0.4
0.2
0.0
-0.2
-0.4
-0.6
-0.8
-1.0
0.0
0.5
1.0
1.5
2.0
2.5
ms

Fig. 2

3. Order, LP, 700 Hz, Butterworth

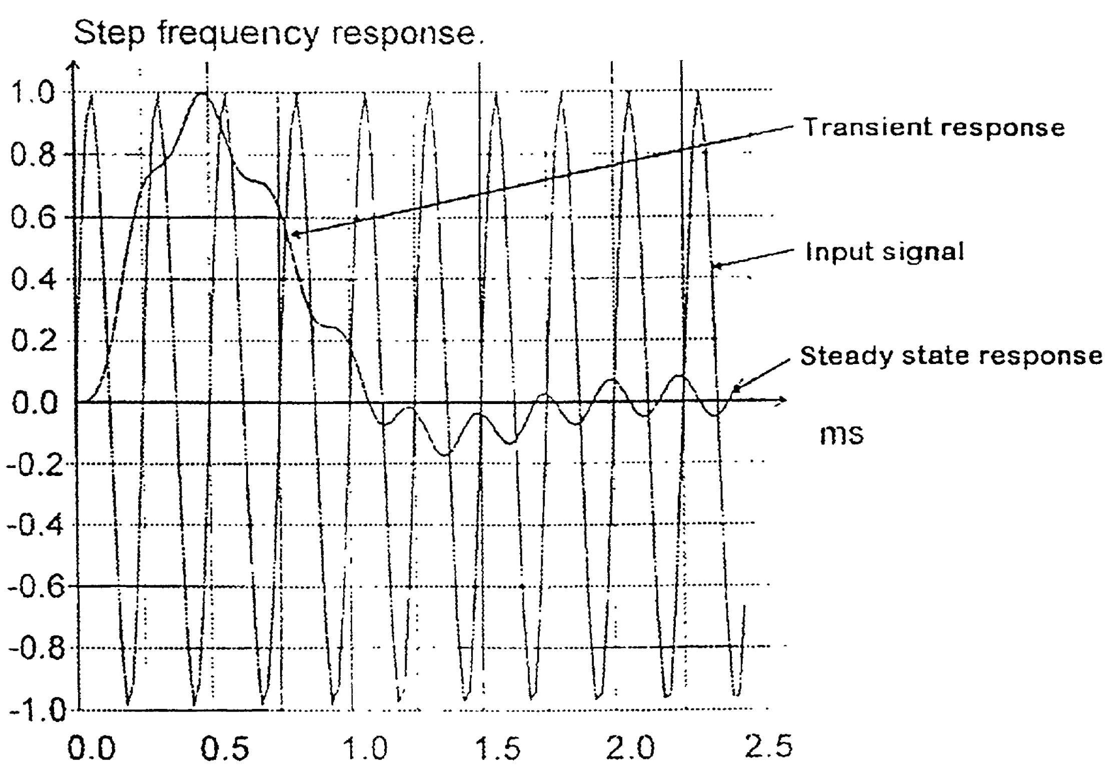


Fig. 3

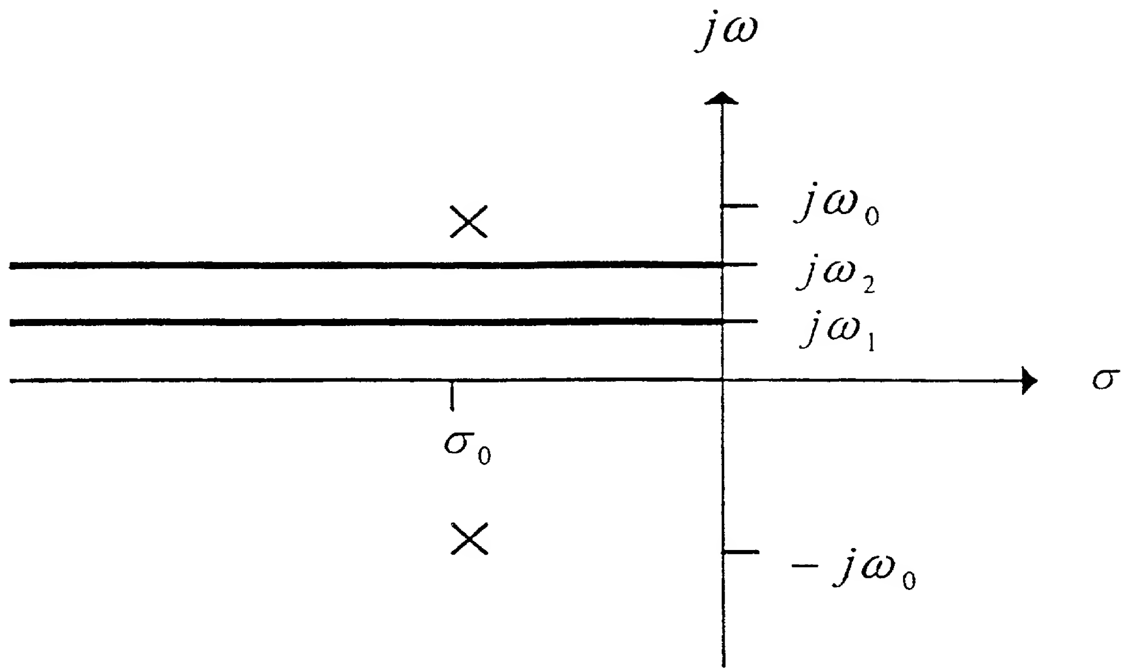


Fig. 4

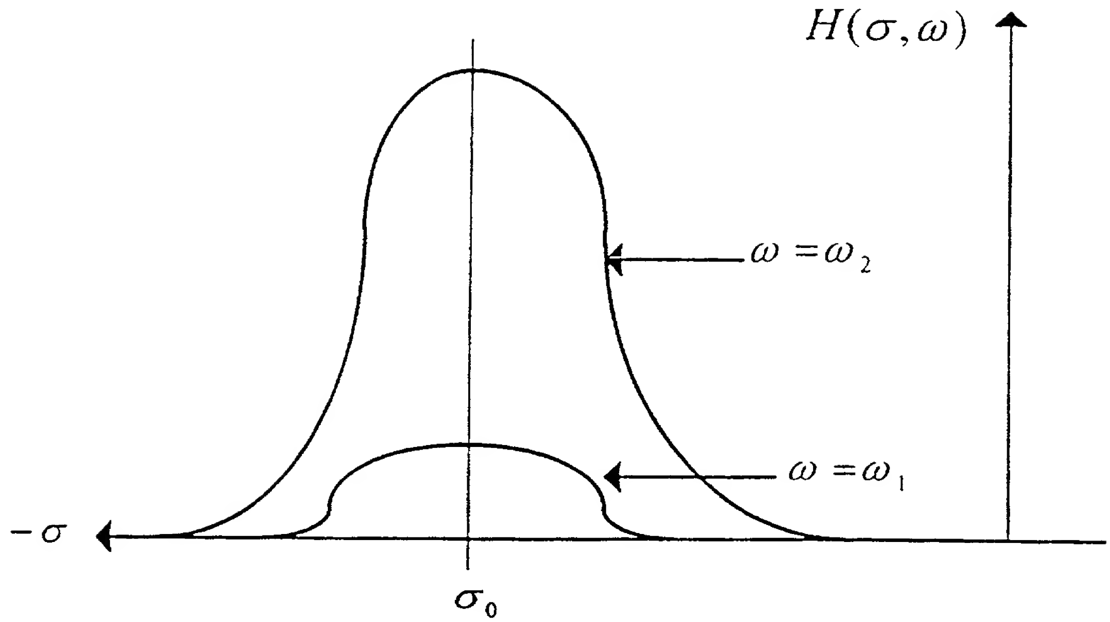
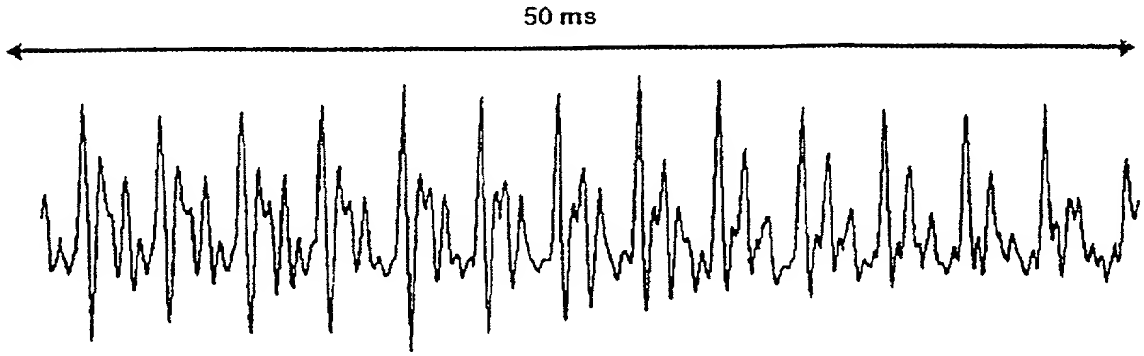
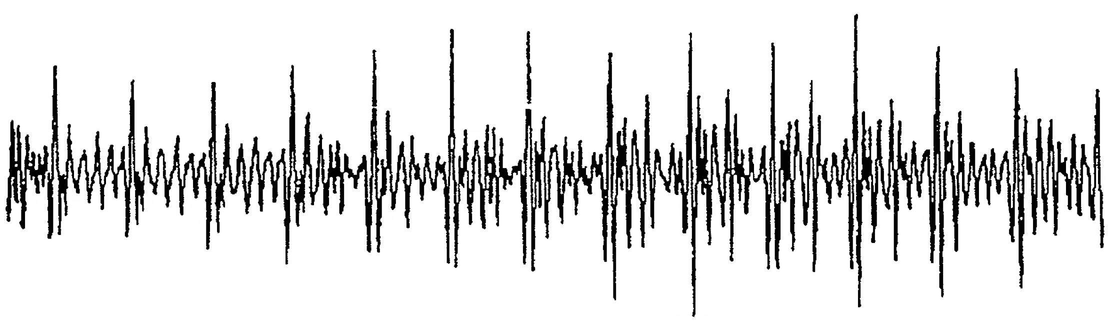


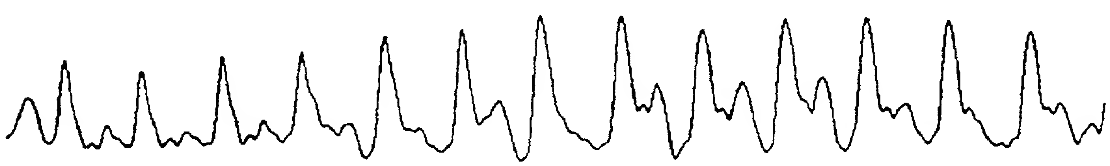
Fig. 5



Speech signal



Transient isolation in the speech signal, band width 2150-3550 Hz



Energy detection of the transient pulses by means of envelop detection,

rectified and low pass filtered at 700 Hz

Fig. 6

Sigma	Max	
9250	0 931	0.81633
8500	0.964	0.81633
7750	0.989	0 81633
7000	1.000	0.81633
6250	0.993	0.81633
5500	0.960	0.81633
4750	0.895	0.81633
4000	0.855	0 90703
3250	0.757	0.90703
2500	0.610	0.99773

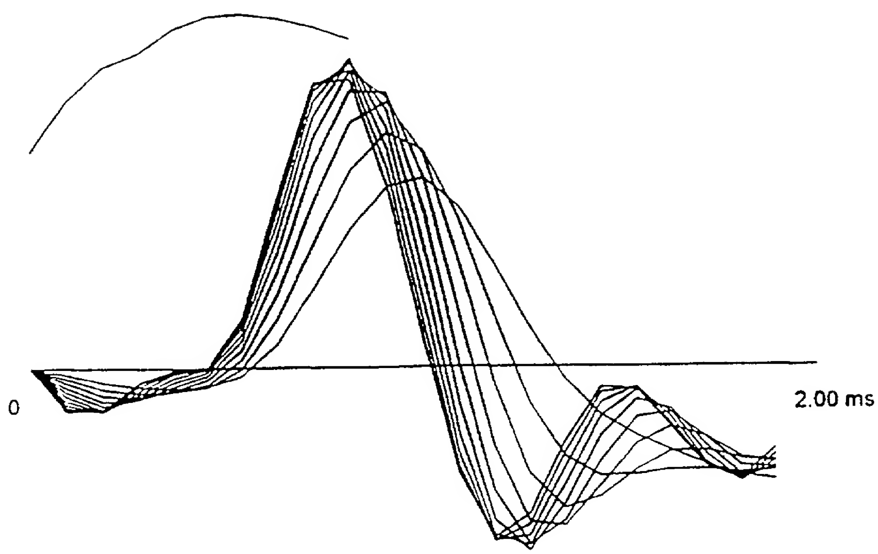


Fig. 7

Sigma	Max	
9250	0.980	0.72562
8500	0.989	0.72562
7750	0.983	0.72562
7000	0.986	0 81633
6250	1.000	0.81633
5500	0.983	0.81633
4750	0.923	0 81633
4000	0 837	0.90703
3250	0.745	0.90703
2500	0.590	0.99773

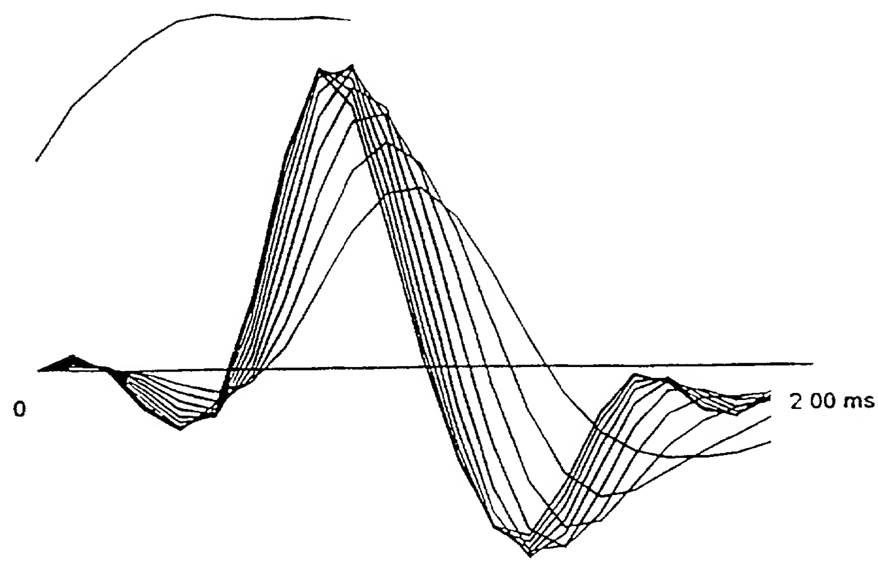


Fig. 8

Sigma	Max	
9250	0.883	0 81633
8500	0.908	0 81633
7750	0.931	0.81633
7000	0.953	0.81633
6250	0.974	0.81633
5500	0.992	0.81633
4750	1.000	0.81633
4000	0.984	0.81633
3250	0.940	0.90703
2500	0.851	0.90703

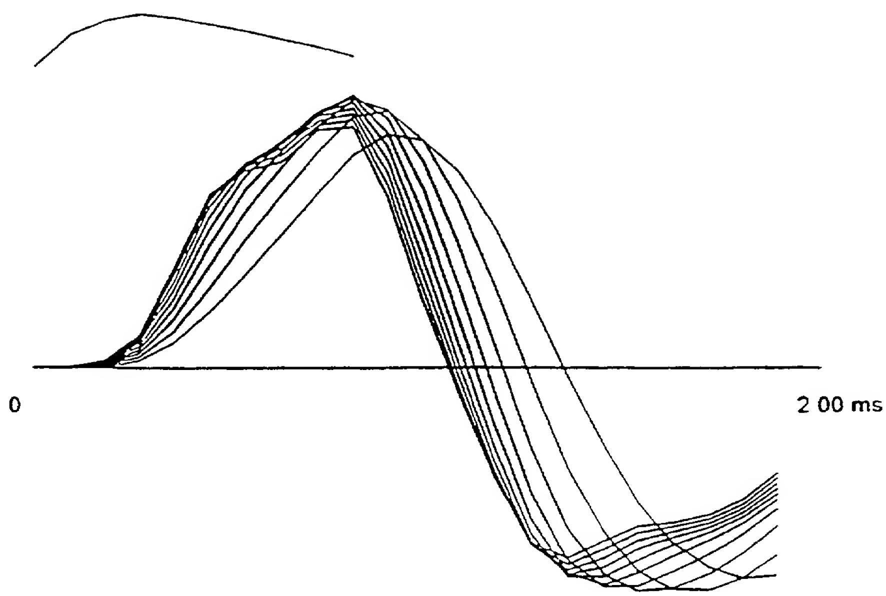


Fig. 9

Sigma	Max	
9250	0.890	0.54422
8500	0.917	0 54422
7750	0 944	0 54422
7000	0.971	0.54422
6250	0.992	0.54422
5500	1.000	0.54422
4750	0.982	0.54422
4000	0.977	0.63492
3250	0.912	0.63492
2500	0.795	0 72562

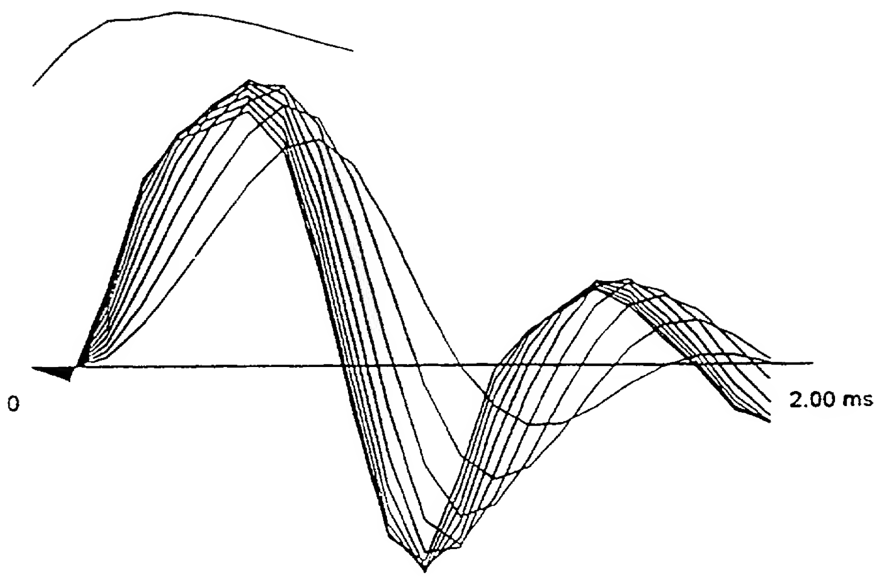


Fig. 10

Sigma	Max	
9250	0.965	0.99773
8500	0.984	0.99773
7750	0.995	0 99773
7000	1.000	0.99773
6250	0.998	0.99773
5500	0.989	0.99773
4750	0.968	0.99773
4000	0.964	1.08844
3250	0.920	1.08844
2500	0.831	1 17914

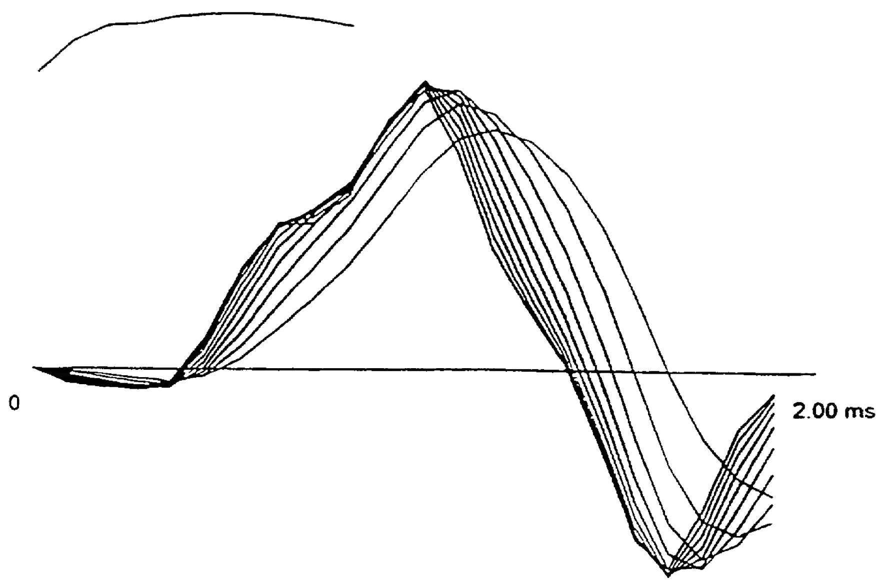


Fig. 11

Sigma	Max	
9250	0.983	0.81633
8500	0.994	0.81633
7750	0.995	0.81633
7000	0.986	0.81633
6250	0.994	0.90703
5500	1.000	0.90703
4750	0.989	0.90703
4000	0.953	0.99773
3250	0.922	0.99773
2500	0.859	1.08844

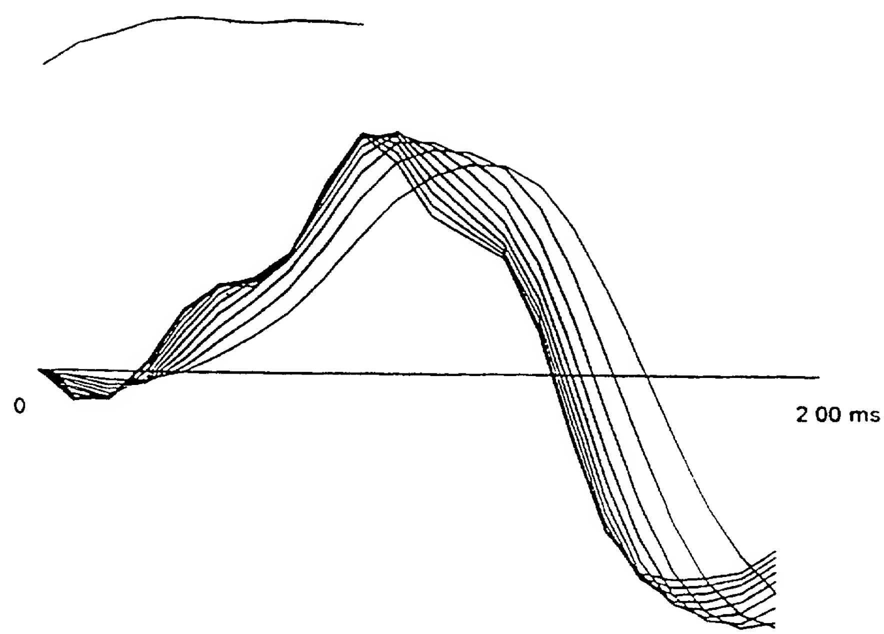


Fig. 12

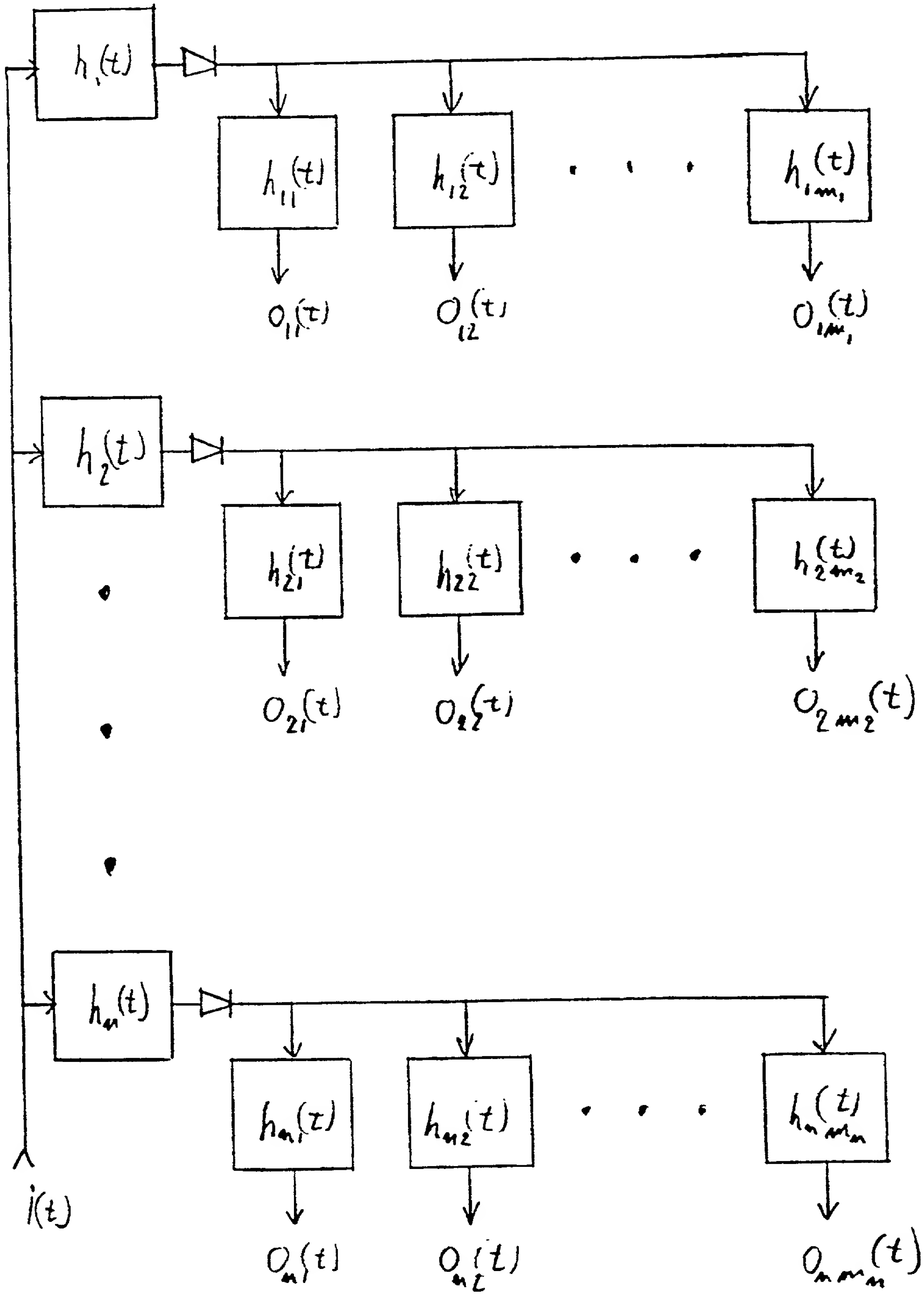

$h_1(t)$
$h_{11}(t)$
$h_{12}(t)$
$h_{1m_1}(t)$
$O_{11}(t)$
$O_{12}(t)$
$O_{1m_1}(t)$
$h_2(t)$
$h_{21}(t)$
$h_{22}(t)$
$h_{2m_2}(t)$
$O_{21}(t)$
$O_{22}(t)$
$O_{2m_2}(t)$
$h_n(t)$
$h_{n1}(t)$
$h_{n2}(t)$
$h_{nm_n}(t)$
$i(t)$
$O_{n1}(t)$
$O_{n2}(t)$
$O_{nm_n}(t)$

Fig. 13

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 PCT/DK99/00128

A SIGNAL PROCESSING METHOD TO ANALYSE TRANSIENTS OF SPEECH SIGNALS

The present invention relates to a method for determination of a parameter of a system generating a signal containing information about the parameter.

The method may be used for identification of sound or speech signals, such as in speech recognition, or for quality measurement of audio products or systems, such as loudspeakers, hearing aids, telecommunication systems, or for quality measurement of acoustic conditions. The method of the present invention may also be used in connection with speech compression and decompression in narrow band telecommunication.

The method may also be used in analysis of mechanical vibrations generated by a manufactured device during operation e.g. for detection of malfunction of the device.

The method may further be used in electrobiology for example for analysis of neuroelectrical signals such as analysis of signals from an electroencephalograph, an electromyograph, etc.

BACKGROUND OF THE INVENTION

Prior art methods of signal processing are based on a short time Fourier transform of signals and it is assumed that the signals are steady state signals.

In steady state analysis the signal is assumed stationary in the period the signal is analysed and the steady state spectrum is calculated.

In real life steady state signals do not occur and steady state analysis does not provide sufficient knowledge of phenomena within various scientific and technological fields. Consider for example

speech analysis. The human ear has the ability to simultaneously catch fast sound signals, detect sound frequencies with great accuracy and differentiate between sound signals in complicated sound environments. For instance it is possible to understand what a singer is singing in an accompaniment of musical instruments.

It is assumed that the cochlea in the human ear can be regarded as comprising a large number of band-pass filters within the frequency range of the human ear.

The time response $f(t)$ for one band-pass filter due to an excitation can be separated into two components, the transient response, $f_t(t)$, and the steady state response, $f_s(t)$, $f(t)=f_t(t)+f_s(t)$.

Traditional signal processing is based on the steady state response $f_s(t)$, and the transient response $f_t(t)$ is assumed to vanish very fast and to be without importance for the perception, see for example "Principles of Circuit Synthesis", McGraw-Hill 1959, Ernest S. Kuh and Donald O. Pederson, page 12, lines 9-15, where it is stated that:

"only the forced response is considered while the response due to the initial state of the network is ignored".

Thus, when students are introduced to the world of signal analysis, they learn that the transient response, i.e. the response due to the initial state of the network should be ignored because it vanishes within a very short period of time. Furthermore, it is rather difficult to analyse these transient signals by use of traditional linear methods of analysis.

The ability of the human ear to hear very short sounds and at the same time detect frequencies with great accuracy is in conflict with the traditional filterbased spectrum analysis. The time window

(twice the rise time) of a band-pass filter is inversely proportional to the bandwidth, $tw=2/(f_u-f_l)$, where f_l is the lower cut-off frequency and f_u is the upper cut-off frequency.

Thus, if a rise time of 5 ms is required the consequence is that the frequency resolution is no better than 400 Hz.

As the detection of these transients is in conflict with a high frequency resolution, the detecting by the human ear of these transients must take place in an alternative manner. It has not been examined how the human ear is able to detect these signals, but it might be possible that the cochlea, when no sounds are received, is in a position of rest, where the cochlea will be very broad-banded. When a sound signal is received, the cochlea may start to lock itself to the frequency component or components within the signal. Thus, the cochlea may be broad-banded in its starting position, but if one or more stable frequencies are received the cochlea may lock itself to this frequency or these frequencies with a high accuracy.

Today it is known that the nerve pulses launched from the cochlea are synchronized to the frequency of a tone if the frequency is less than about 1.4 kHz. If the frequency is higher than 1.4 kHz the pulses are launched randomly and less than once per cycle of the frequency.

Signal processing based on filter bank spectrum analysis is disclosed in GB 2213623 which describes a system for phoneme recognition. This system comprises detecting means for detecting transient parts of a voice signal, where the principal object of the transient detection is the detection of a point where the speech spectrum varies most sharply, namely, a peak point. The detection of the peak points is used for more precise phoneme segmentation. The transient analysis of GB 2213623 is based on a spectrum analysis and the change in the spectrum, which is very

much different to the transient analysis of the present invention which is based on a direct transient detection in the time domain.

SUMMARY OF THE INVENTION

The present invention provides an approach which is different in principle from all known methods for processing signals. The approach taken and some of the results obtained will be explained by of an example in the context of analysis of speech signals.

Speech is produced by means of short pulses generated by the vocal chords in the case of voiced speech and by friction in the vocal tract in the case of unvoiced speech. The pulses are filtered by the vocal tract that acts as a time-varying filter. The output response will consist of quasi steady state terms and also transient terms. The quasi steady state terms will only be damped slightly in the period before the next pulse is generated. The transient terms will be sufficiently damped in the time period before the next pulse is generated.

The speech signal is often assumed to have only quasi steady state terms in the period or time window of the analysis, typically 20-30 ms.

The placement of formants, the formants being energy bands in the short time power spectrum, are calculated by means of a short time spectrum analysis has previously been assumed decisive for speech intelligibility, together with voiced/unvoiced detection, the pitch and the quasi steady state power.

However, a number of observations, which has been performed within the field of auditory perception research, does not conform to the previous assumptions:

Why is it possible to understand and identify a deep male voice through communication channels that have a higher cut-off frequency than the male pitch.

The only difference between the pronunciation of the letters: e, b, d is in the first 1-3 ms of the voice signal and this information will be lost if the analysis have a time window of 20-30 ms.

How can the absolute placement of these formants be decisive when their placement is quite different for different people, particularly between small children and large males.

Why is distortion dominated by odd order harmonics and caused by cross-over distortion in a class B amplifier much more disturbing than distortion dominated by even order harmonics caused by amplitude distortion in a class A amplifier.

The short time power spectrum will not distinguish frequencies from different sources, and tones generated by other sources than the speech signal will act like false formants.

Why does a signal consisting of three tones with the same frequencies as the formants for a vowel not give the slightest perception of the vowel at all? The signal just sounds like three separate tones.

Why is the ear very sensitive to frequency changes of a signal up till about 1000 Hz, changes of +/- 3 Hz can be detected. For frequencies above 1000 Hz, the sensitivity is much smaller.

The research performed by the present applicant leads to suggest that the ear is tone dominant until about 1.4 - 1.6 kHz and transient dominant above. Tone dominant means that the pulses launched from the hair cells as a response to a tone signal are synchronised to the tone signal. Transient dominant means, in the present context, that the hair cells are activated by changes of the energy with rise and fall times of at most 2 ms typical caused by transient pulses.

Regarding speech signals, it is assumed that the quasi steady state terms are in the tone dominant interval of the ear and that the transient terms are in the transient dominant interval. It is believed that the transient terms are very important for speech intelligibility. The transient terms are seen as transient pulses in the speech signal. The rise time and the shape of leading and lagging edges of the envelope of transient pulses in the terms of a profile of damped frequencies describes the sound picture. The shape of the leading and lagging edges, the dynamic changes, change of amplitude, of the transient pulses, voiced/unvoiced detection and the changes of pitch are decisive for speech recognition.

This approach provides a number of advantages with respect to explaining the earlier mentioned speech perception observations.

A natural explanation as to why it is possible to understand and identify a deep male voice through communication channels that have a higher cut-off frequency than the male pitch is provided. The pitch can be detected as the period between transient pulses.

The absolute placement of formants is not decisive. The damped frequencies profile of the shape of the transient pulse envelope is dominated by damped difference frequencies of the transient terms.

Distortion caused by cross-over distortion in a class B amplifier generates abrupt energy changes (unwanted transients) which are much more disturbing than distortion caused by amplitude distortion in a class A amplifier which do not generate the same abrupt energy changes.

Robust data- or telecommunication is based on modulation. The envelope of transient pulses is a kind of amplitude modulation, transient or impulse response modulation, and will have the same advantages.

It is unlikely that frequencies from other sources will cause interference patterns with the speech signal that gives energy changes with time constants and shapes in the range that is decisive for speech intelligibility. This means that transient modulation will be robust in noisy environments and communication channels.

The ear is probably very sensitive to changes of a frequency up till about 1000 Hz because the nerve pulses are synchronised to the frequency and the period between the pulses is a measure for the frequency. In the high frequency range, where the pulses are not synchronised to the frequency, only placement of the frequency in the cochlea is a measure for the frequency.

According to the invention it has for example been found that the signal information relevant to recognition of speech is present in a transient part of the speech signal. Thus, the method of the present invention may involve a separation of the transient part of an auditory signal, a generation of a transient pulse corresponding to the transient part, and analysis of the shape of the pulse. In an auditory signal, the corresponding transient pulse may be repeated with time intervals, and the time interval of these periodic transient pulses is normally also analysed or determined.

In real life, the human ear reacts to energy changes at high frequencies in order to recognise phonemes or sound pictures. But in the present method transient pulses corresponding to the energy changes observed by the ear are extracted at these high frequencies, wherefore the transient pulses preferably are transformed to the low frequency range still maintaining the distinct features of the sound pictures or phonemes. Thus, by using the principles of the invention, it is possible to obtain distinct features within auditory signals by examining the transformed low frequency signals.

The invention relates to the use of the shape of energy changes of a signal for identifying or representing features of the system generating the signal for example in recognition of sound features which can be perceived by an animal ear such as a human ear as representing a distinct sound picture are determined.

The method of the present invention provides an expression for the transient conditions of the auditory signal. The method comprises a band-pass filtration of an auditory signal within the frequency range of the human ear and a detection of a low-pass filtered envelope, which envelope then can be analysed with known methods of signal analysis. The envelope is an expression of the transient part of the signal.

The method of signal analysis, which should be used when analysing the envelope, and the characteristics of the band-pass filter, which should be selected, will depend on the purpose of the analysis. The purpose may be speech recognition, quality-measurement of audio products or acoustic conditions, and narrow band telecommunication.

The invention also relates to a system for processing a signal to reduce the bandwidth of the signal with substantial retention of the information of the signal. The system may further comprise means for extracting the transient component of the auditory signal, and it may comprise means for detecting an envelope of the transient component.

A signal may be separated into a sum of impulse responses generated by poles and zeroes in the system that has generated the signal, if the time between the excitation pulses are sufficient long compared to the duration of the impulse responses for the system.

In WO 94/25958 it is shown that the envelope of the transient component in a speech signal is very important for its recognition and it is shown that the envelope of the impulse response will

contain exponential functions and difference frequencies defined by the impulse response.

A method based on damped sinus functions to extract important features from the envelope signal is described, and examples where the method is used on speech signals shows that the features are important in speech analysis.

Before entering into a more detailed explanation of features of the method of the invention, a few definitions will be given:

In short time analysis the transient component in a signal is a matter of definition. For auditory signals, the idea is to obtain an expression that gives a response corresponding to the response in the cochlea to an abrupt change in the signal energy. An abrupt change in the signal energy corresponds to the transient component in the auditory signal. Thus, in the present context, the term "transient component" designates any signal corresponding to an abrupt energy change in an auditory signal. The transient component holds the signal information to be analysed and in order to analyse this information the transient component may be transformed to a corresponding transient pulse having a distinct shape. Thus, in the present context, the term "transient pulse" refers to a pulse having a distinct shape and substantially holding the information of the transient component of the auditory signal and thus corresponding to an abrupt change in the energy of the auditory signal. As mentioned above the transient part of a sound signal may be repeated with time intervals and thus, in the present context, the term "periodic" when used in combination with a transient component, response or pulse designates any transient component, response or pulse being repeated with intervals.

The term "shape" designates any arbitrary time-varying function (which is time-limited or not time-limited) and which, within a given time interval T_P has a distinctly different amplitude level in comparison with the amplitude level outside the interval. Thus,

T_p is the duration of the shape function when the shape function is time-limited, or the duration of the part of the function which has a distinctly different amplitude level in comparison with the amplitude level outside the time interval.

In order to extract information from the shape of the energy changes, one broad aspect of the invention relates to represent the shape of the energy changes by the short time Laplace transform of a transient pulse of the signal. However, several methods can be applied in order to obtain a transient pulse corresponding to the change in energy, but it is preferred that an envelope detection is being used, where the envelope preferably should be detected from a transient response of the energy change in the auditory signal.

The energy change representing the distinct sound picture can be a phoneme or vowel or any other sound which gives a sudden energy change in an auditory signal.

It is also an aspect of the invention to provide a method for identifying, in an auditory signal, energy changes which can be perceived by an animal ear such as a human ear as representing a distinct sound picture, the method comprising comparing the shape of energy changes of the signal with predetermined energy change shapes representing distinct sound pictures. For the identification it is preferred that the shape of the energy changes are represented by the shape of a transient pulse of the signal, and it is furthermore preferred that the shape of the transient pulse should be obtained by an envelope detection of a transient response of the energy change in the auditory signal.

The invention also relates to a method for processing a signal so as to reduce the bandwidth of the signal with substantial retention of the information of the signal, comprising extracting a transient part of the signal. The method may further comprise detecting an envelope of the transient part of the signal.

Known methods of processing signals are based on a short time Fourier transform of signals, and it is assumed that the signals are steady state signals.

In steady state analysis the signal is assumed stable in the period the signal is analysed, and the steady state spectrum is calculated.

In WO 94/25958 it is disclosed that transient pulses are important for speech coding and decoding in narrow band communication, for speech recognition and synthesis, and for sound quality in auditory products (i.e. loudspeakers, amplifiers and hearing aids).

An important part of a transient signal is the exponential functions or damping ratios or time constants. The damping ratio is the reason that the impulse response has a finite duration. The fact that the transient signal is important for auditory perception indicates that the response from the hair cells is dependent on the time constants. If this is the case, it is possible that the damping ratios in the response from nerve cells in general are important for the human nerve system.

Transient signals are also important in many other applications, among others signals generated by impacts from defects in rolling bearings and gear-boxes.

Based on the transient signal, it is possible to determine the natural time constants and frequencies in the system generating the signal. Further it is possible to determine the excitation pulses of the system.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows a time-domain representation of a linear time-invariant system,

Fig. 2 shows the impulse response of a Butterworth low-pass filter of 3. order and a cut-off frequency at 700 Hz,

Fig. 3 shows the response with the filter relaxed for $t < 0$ and with a 4000 Hz tone as input at $t \geq 0$,

Fig. 4 shows the s-plane with poles and the zero for $H(\sigma,\omega)$,

Fig. 5 shows $H(\sigma,\omega)$ for ω_1 and ω_2 analysed parallel with the σ axis,

Fig. 6 shows transient characteristics in speech signals,

Figs. 7-12 show processed speech signals,

Fig. 13 shows a schematic of a filter bank according to the present invention.

DETAILED DESCRIPTION OF THE DRAWING

The importance of the transient part of a signal has been an overlooked phenomenon in signal analysis.

The response of a linear system to either an impulse or a step function is defined by its transient response properties.

The relationship between the input and the output for the linear time-invariant system shown in Fig. 1 can be written as the convolution of the input signal and the impulse response of the system:

$$v_o(t) = \int_{-\infty}^{t} v_i(x)h(t-x)dx \qquad (1)$$

If the system is initially relaxed and the input signal $v_i(t)$ is zero for $t < 0$ then the lower integration limit of Eq. (1) can be replaced with zero. Eq. (1) then shows the important role played by the impulse response in terms of the actual signal processing that is performed by the system. It states that the input signal is weighted or multiplied by the impulse response at every instant in time and, at any specific point in time, the output is the summation or integral of all past weighted inputs.

The impulse response of a real system has a finite duration and the transient response has the same duration. Fig. 2 shows the impulse response of a Butterworth low-pass filter of 3. order and a cut-off frequency at 700 Hz. Fig. 3 shows the response with the filter relaxed for $t < 0$ and with a 4000 Hz tone as input at $t \geq 0$.

In many processes $v_i(t)$ will be a pulse with a short duration and $v_i(t) \approx 0$ before the next pulse will be generated.

The Laplace transform of a signal $v(t)$ is defined by

$$L(s) = \int_0^\infty v(t)e^{-st}dt \qquad (2)$$

$$= \int_0^\infty v(t)e^{-(\sigma + j\omega)t}dt$$

If $v(t)$ is the impulse response $h(t)$ for a system with 2 complex poles

$$h(t) = e^{-(\sigma_0 + j\omega_0)t} + e^{-(\sigma_0 - j\omega_0)t}, \qquad t \geq 0 \qquad (3)$$

and 0 for $t < 0$ and $s \neq -(\sigma_0 \pm j\omega_0)$.

the Laplace transform is

$$H(s)=\frac{s+\sigma_0}{(s+\sigma_0+j\omega_0)(s+\sigma_0-j\omega_0)}$$

or

$$H(\sigma,\omega)=\frac{\sigma+\sigma_0+j\omega}{(\sigma+\sigma_0+j(\omega+\omega_0))(\sigma+\sigma_0+j(\omega-\omega_0))} \qquad (4)$$

From Eq. (4) it is seen that for $(\sigma,\omega)\to(-\sigma_0,\pm\omega_0)$, $H(\sigma,\omega)\to\pm\infty$.

This is a well-known phenomenon and a logical consequence of this is as follows:

If the signal analysed is dominated by the impulse response of the system generating the signal, it is possible to determine the natural time constants and frequencies for the system.

Fig. 5 shows a plot of $H(\sigma,\omega)$ for $\omega=\omega_1$ and $\omega=\omega_2$.

Analysing a signal along or parallel with the $j\omega$ axis will give a frequency profile for a given σ.

Analysing a signal along or parallel with the σ axis will give a time constant profile for a given $j\omega$.

If a signal has a time constant profile with significant variations for specific frequencies, the signal is transient dominated. Opposite if the signal does not vary significantly for any frequency, the signal is steady state dominated.

A short time Laplace transform is defined by:

$$L(\sigma,\omega,t) = \int_0^t v_i(t-\lambda)e^{-(\sigma+j\omega)\lambda}d\lambda \tag{5}$$

in which v_i is the signal, L is the transformed signal, σ is a time constant, and ω is an angular frequency.

It is not possible to calculate the short time Laplace transform in the same way as DFT in the discrete time domain because two arbitrary exponential functions, e^{at} and e^{bt}, are not orthogonal with respect to each other.

The short time Fourier analysis in the analogue time domain is based on a filter bank method. In this paper an equivalent method will be developed for the Laplace transform.

From Eq.(1) and Eq.(3):

$$v_o(t) = \int_0^t v_i(t-\lambda)e^{-(\sigma+j\omega)\lambda}d\lambda$$

$$+ \int_0^t v_i(t-\lambda)e^{-(\sigma-j\omega)\lambda}d\lambda \tag{6}$$

$$v_o(t) = V(\sigma,\omega,t) + V^*(\sigma,\omega,t) = u(t) + u^*(t)$$

where $u^*(t)$ is the complex conjugate of $u(t)$ and we have

$$\mathrm{Re}\left[L(\sigma,\omega,t)\right] = \tfrac{1}{2}v_o(t) \tag{7}$$

From Eq.(6) and Eq.(7) it is seen that filtering the signal $v_i(t)$ by a filter with the impulse response $h(\sigma,\omega,t)$ with 2 complex poles will represent the reel part of the short time $L(\sigma,\omega,t)$ transform.

If we let $v_i(t)$ be equal to the impulse response of a single pole we have

$$u(t) = \int_0^t ke^{-(\sigma_0+j\omega_0)(t-\lambda)}e^{-(\sigma+j\omega)\lambda}d\lambda$$

$$= ke^{-(\sigma_0+j\omega_0)t}\int_0^t e^{(\sigma_0+j\omega_0)\lambda}e^{-(\sigma+j\omega)\lambda}d\lambda \tag{8}$$

$$= \frac{k(e^{-(\sigma+j\omega)t} - e^{-(\sigma_0+j\omega_0)t})}{(\sigma-\sigma_0)+j(\omega-\omega_0)}$$

and from Eq.(7) we have

$$v_o(t) = -\frac{2k(\sigma-\sigma_0)(e^{-\sigma t}\cos(\omega t) - e^{-\sigma_0 t}\cos(\omega_0 t))}{(\sigma-\sigma_0)^2+(\omega-\omega_0)^2}$$

$$+\frac{2k(\omega-\omega_0)(e^{-\sigma t}\sin(\omega t) - e^{-\sigma_0 t}\sin(\omega_0 t))}{(\sigma-\sigma_0)^2+(\omega-\omega_0)^2} \tag{9a}$$

or

$$\frac{v_o(t)}{2k} = \frac{e^{-\sigma_0 t}((\sigma-\sigma_0)\cos(\omega_0 t) - (\omega-\omega_0)\sin(\omega_0 t))}{(\sigma-\sigma_0)^2+(\omega-\omega_0)^2}$$

$$\frac{-e^{-\sigma t}((\sigma-\sigma_0)\cos(\omega t)-(\omega-\omega_0)\sin(\omega t))}{(\sigma-\sigma_0)^2+(\omega-\omega_0)^2} \qquad (9b)$$

Eq.(9) is not defined for $(\sigma,\omega)=(\sigma_0,\omega_0)$ but from (8) we have in this case

$$u(t)=ke^{-(\sigma_0+j\omega_0)t}\int_0^t d\lambda$$

$$=kte^{-(\sigma_0+j\omega_0)t}$$

and

$$v_o(t)=2kte^{-\sigma_0 t}\cos(\omega_0 t) \qquad (10)$$

and we have $v_o(t)\to 0$ for $t\to\infty$.

Eq.(9) shows that the gain is inversely related to $\sigma-\sigma_0$ and $\omega-\omega_0$, and when (σ_0,ω_0) is far from (σ,ω) and $e^{-\sigma t}-e^{-\sigma_0 t}$ is small, $v_o(t)\approx 0$. For $(\sigma_0,\omega_0)\leftarrow(\sigma,\omega)$ $v_o(t)$ will have Eq.(10) as the limit. It is not immediately to see if Eq.(9) has the maximum energy for $(\sigma_0,\omega_0)\leftarrow(\sigma,\omega)$.

In the DC domain Eq.(9) can be written as

$$v_o(t)=2k\frac{(e^{-\sigma_0 t}-e^{-\sigma t})}{\sigma-\sigma_0} \qquad (11)$$

The maximum for $v_o(t)$ can be found as follows

$$\frac{dv_o}{dt} = \frac{1}{\sigma - \sigma_0}\left[\sigma e^{-\sigma t} - \sigma_0 e^{-\sigma_0 t}\right] = 0$$

when

$$t_m = \frac{\log(\sigma) - \log(\sigma_0)}{\sigma - \sigma_0} \qquad (12)$$

and Eq.(11) will have the maximum for this value.

It can be shown that $t_m \rightarrow \frac{1}{\sigma_0}$ when $\sigma \rightarrow \sigma_0$.

When $\sigma \approx \sigma_0$ we will have the approximated maximum with $t = \frac{1}{\sigma_0}$

$$v_o(\tfrac{1}{\sigma_0}) = 2k\frac{(e^{-1} - e^{-\frac{\sigma}{\sigma_0}})}{\sigma - \sigma_0} \qquad (13)$$

From Eq.(13) it can be shown that

$$v_o \rightarrow \frac{2ke^{-1}}{\sigma_0} \text{ for } \sigma \rightarrow \sigma_0$$

In Eq.(11) $e^{-\sigma_0 t}$ represent the signal to be analysed and $e^{-\sigma t}$ the filter. Table 1 shows the result with a filter having $\sigma = 100\ s^{-1}$ and the signal varying from 1 to 10000 s^{-1}

It is not surprising that the convolution acts as a low-pass filter. The important fact is that the exponential function in the DC domain in some way acts as frequencies do in the frequency domain.

In table 1 $v_{o1}(t_m)$ is the result of a convolution where the signal is differentiated. The result is, as expected, a high-pass filter.

If we look on Eq.(9a) without exponential functions it can be written as

$$v_0(t) = \frac{2k(\sin(\omega t) - \sin(\omega_0 t))}{\omega - \omega_0} \qquad (14)$$

it is seen that for $\omega \to \infty$ we will have $v_o \to 0$.

σ : 100 s^{-1}			
σ_0	t_m	$v_o(t_m)$	$v_{o1}(t_m)$
s^{-1}	s	—	
1	0,046516871	0,954548457	0,009545485
10	0,025584279	0,774263683	0,077426368
100	0,010000000	0,367879441	0,367879441
1000	0,002558428	0,077426368	0,774263683
10000	0,000465169	0,009545485	0,954548457

Table 1 $v_o(t_m)$ is given by Eq.(11, 12) and normalised by σ and 2k. $v_{o1}(t_m)$ is a convolution where the signal is differentiated and normalised by 2k.

For $\omega << \omega_0$ we will have

$$v_o \cong \frac{2k(\sin(\omega t) - \sin(\omega_0 t))}{\omega_0} \qquad (15)$$

It can be shown that for $\omega \to \omega_0$ we will have

$$v_n(t) \rightarrow 2kt\cos(\omega_0 t) \qquad (16)$$

This result is as expected unstable.

In transient analysis only the beginning of the signal is of interest, and if $\omega_0 >> 1$ Eq.(14) will act as a band-pass filter.

Speech processing is based on fast energy pulse generated by the vocal cords or by friction in the articulation channel weighted by the impulse response in the articulation channel. The rise time for the excitation pulses has to be sufficient faster than the rise time of the energy of the impulse response.

The shape of energy pulses are important features in speech. If the time between the pulses is periodical it is voiced speech, and if not it is unvoiced speech. For some phonemes abrupt changes in the energy pulses are important.

From WO 94/25958 it is known that the shape of the energy pulses are important for speech recognition, especially the leading edge. In the following a method to extract features will be developed based on an envelope detection.

The convolution expressed in Eq.(9) can be regarded as a response from 2 poles in the articulation channel excited by an impulse. If $\sigma_0 \approx \sigma$ we have from Eq.(9a)

$$v_n(t) \cong \frac{e^{-\sigma t}}{(\omega - \omega_0)}\left(\sin(\omega t) - \sin(\omega_0 t)\right) \qquad (17)$$

The envelope is defined as

$$e(t) = \sqrt{u^2(t) + \hat{u}^2(t)}$$

where

$$\hat{u}(t) = u(t) * \frac{1}{\pi t}$$

is the Hilbert Transform.

The envelope of Eq.(17) is then

$$e_a(t) = \frac{e^{-\sigma t}}{|\omega - \omega_0|}\sqrt{(\sin(\omega t) - \sin(\omega_0 t))^2 + (-\cos(\omega t) + \cos(\omega_0 t))^2}$$

$$= \frac{e^{-\sigma t}}{|\omega - \omega_0|}\sqrt{2(1 - \cos(\omega - \omega_0)t)}$$

$$\cong \frac{\sqrt{2}e^{-\sigma t}}{|\omega - \omega_0|}\left(1 - \tfrac{1}{2}\cos((\omega - \omega_0)t)\right) \qquad (18)$$

The approximation is legal because $|\cos((\omega - \omega_0)t)| \le 1$

As expected the envelope has a component with the difference frequency of the 2 frequencies.

The conclusion is that we can expect to find damped difference frequencies in the envelope of the transient component.

To detect the damped difference frequencies a filter bank is used. The features might be detected as a convolution between the transient pulse and the impulse response of the filters.

In general form the impulse response can be written as

$$h(t) = ke^{-\lambda t}\sin(f(\lambda)t + \phi)$$

Where $\sigma = \lambda$ and $\omega = f(\lambda)$.

In the following analysis $f(\lambda) = 1.5\lambda$, $k = \omega = 1.5\lambda$, and $\phi = 0$ are selected and we have

$$h(t) = 1.5\lambda e^{-\lambda t}\sin(1.5\lambda t) \qquad (19)$$

By selecting $\omega = 1.5\sigma$ Eq.(19) will act as a band-pass filter with a low Q in relation to the frequencies. Other ratios ω/σ than 1.5 may be selected and it is presently preferred that the ratio (ω/σ) ranges from 0.5 to 2.5. The exponential function gives the advance that it acts like natural time window that ensure that the signal is natural damped. The value of the parameters are selected by studying rise times in important transient pulses and by experiments.

Fig. 6 shows transient characteristics in speech signals. The top figure shows 50 ms of an "a" in "hard key" pronounced by a female.

The second signal is a band-pass filtration of the speech signal. The band-pass filter is a Butterworth filter with 6 poles and a band width from 2150 to 3550 Hz. This frequency band contains important transient pulses in the sensitive frequency interval of the ear.

The third signal is a energy detection of the transient characteristics of the band-pass filtered speech signal. The detection is an envelope detection performed by means of a rectification and a low-pass filtration of the signal. The filter is a Butterworth filter with 3 poles and a cut-off frequency at 700 Hz.

In WO 97/09712 a method for automatically detecting the leading edges is disclosed. The method uses the maximum slope of the leading edge as reference, and the point before the maximum slope where the slope is less than a given threshold (10-20 % of the maximum slope) the leading edge is defined to begin.

The transient (envelope) signal in Fig.(6) has a DC component, which does not contain any information. Therefore it is preferred that the signal is differentiated before it is analysed e.g. by the filter bank shown in Fig. 13.

In Fig. 13, the filters ($h_1(t)$, $h_2(t)$,..., $h_n(t)$) in the filter bank connected between the input and the envelope detectors are band-pass filters having bandwidths corresponding to the bandwidths of the band-pass filters of the cochlea and having centre frequencies ranging from 1400 Hz to 6500 Hz.

The output signals $o_{ij}(p)$ from the filter bank shown in Fig. 13 is calculated by:

$$h_{ij}(p) = 1.5\lambda_m e^{-\lambda_m p}\sin(\lambda_m p)\,, \qquad i=0,1,\ldots,N-1$$

$$j=0,1,\ldots,M-1$$

$$h_{ij}(p) = 0\,, \qquad p < 0$$

$$o_{ij}(p) = \sum_{k=0}^{P-1} t'(k) h_m(p-k)\,, \qquad p=0,1,\ldots,P-1$$

m=0,1,...,M-1 and M is the number of band-pass filters with a low Q in the filter bank connected between the outputs and the envelope detectors, p = 0,1,...,P-1 is the sample number, t' is the differentiated transient signal, and λ_m is the filter bank parameter and it is normalised by the sampling frequency.

In the analysis M is selected to 10 and $1500 \le \lambda'_m \le 12000$ s^{-1}, λ'_m is not normalised. By this we have $1885 \le \omega_m \le 18850$ s^{-1} or $300 \le f_m \le 3000$ Hz.

This filtering process is not done in the cochlea but in the hair cells or in the nerve system behind the hair cells.

The Figs. 7, 8 , 9, 10, 11, and 12 show the output of the processing of transient signals in the vowels "a", "o", "i" in "hard key" and "soft key" pronounced by a female and a male. Further the figures show plots of maxima of the output signals as a function of the time constant of the corresponding filter.

The figures show that maximum curves are very much alike for the same vowels, independent of whether a female or male pronounces it.

With a library of templates and a distance measure it is possible to identify the sound picture, and it can be used for speech recognition and narrow band communication.

Thus, according to the invention a method and an apparatus are provided for determination of a parameter of a system generating a signal containing information about the parameter, in which the signal is short time transformed substantially in accordance with

$$L(\sigma,\omega,t) = \int_0^t v_i(t-\lambda)e^{-(\sigma+j\omega)\lambda+\varphi}d\lambda$$

in which v_i is the signal, L is the transformed signal, σ is a time constant, ω is an angular frequency, and is a phase, or, in accordance with another transformation which will give rise to an $L'(\sigma,\omega,t)$ which in time intervals within which $L(\sigma,\omega,t)$ is larger than 10% of its maximum value is not more than 50% different from the result given by the short time Laplace transformation.

In narrow band communication the transient pulses have to be identified and coded, and the decoder will contain a library of filters with corresponding transient responses. The decoder library could also contain the transient responses.

The present invention also relates to measurement of mechanical vibrations e.g. when testing devices that generate mechanical energy during operation, such as mechanical devices with moving parts, such as compressors for refrigerators, electric motors, household machines, electric razors, combustion engines, etc, etc.

For example, it is known that measurement of vibration generated or sound emitted by a device during operation can be useful for detection of malfunction of the device. Certain failures may generate sound or vibration of specific characteristics that can be recognised.

The method may also comprise steps of classification for classifying a tested device in accordance with the determined parameters into one class of a set of predefined classes. Each predefined class may be defined by a set of upper and lower limits for specific parameters determined according to the method. A device may then be classified as belonging to a certain class if

its corresponding parameter values lie within corresponding upper and lower limits of the class.

Each class may correspond to a specific type of failure of the device. For example, shaft imbalance, wheel imbalance, crookedness, imperfections of teeth in cogs, tight bearing, loose bearings, etc, may cause the device to vibrate in different characteristic ways, whereby a characteristic mechanical vibration or sound is generated for each type of failure. The type of failure of the device may then be detected by comparing determined device parameters with corresponding parameter values of various predetermined classes.

The upper and lower limits of a specific class of devices may be determined by testing a set of devices known to belong to that class. For example, the upper limits may be determined as the average of specific parameter values plus three times the standard deviation. Likewise, the lower limits may be determined as the average of parameter values minus three times the standard deviation.

CLAIMS

1. A method for determination of a parameter of a system generating a signal containing information about the parameter, comprising the step of short time transforming the signal substantially in accordance with

$$L(\sigma,\omega,t) = \int_0^t v_i(t-\lambda)e^{-(\sigma+j\omega)\lambda+\varphi}\,d\lambda$$

in which v_i is the signal, L is the transformed signal, σ is a time constant, ω is an angular frequency, and φ is a phase.

2. A method according to claim 1, wherein the step of transforming comprises filtering the signal v_i with a filter having a pole at $\sigma + j\omega t$ and a pole at $\sigma - j\omega t$.

3. A method according to claim 1 or 2, comprising steps of transforming the signal v_i for a plurality of sets of σ and ω values.

4. A method according to any of the preceding claims, further comprising the step of determining a maximum of at least one transformed signal $L(\sigma,\omega,t)$.

5. A method according to any of the preceding claims, further comprising the step of comparing transformed signals L with corresponding reference signals in order to determine parameters of the system.

6. A method according to any of the preceding claims, further comprising a step of pre-processing the signal before the step of short time transforming, the pre-processing being selected from the

group consisting of filtering, rectification, differentiation, integration, and amplification.

7. A method of transmitting a signal containing information of a set of parameters of a system generating the signal, comprising processing the signal according to any of the preceding claims and further comprising the step of transmitting the determined parameter values.

8. A method according to claim 7 further comprising the step of generating a copy of the signal from the transmitted parameter values.

9. A method of transmitting a signal containing information of a set of parameters of a system generating the signal, comprising processing the signal according to any of the preceding claims and further comprising the steps of

comparing the signal with a library of signals generated for a predetermined set of parameter values by the system,

selecting the library function that constitutes the best match to the signal, and

transmitting an identification signal that identifies the matching library function.

10. A method according to claim 9, further comprising the steps of receiving the identification signal and generating the corresponding library signal.

11. A method of classifying a system according to one or more parameters of the system generating a signal containing information about the one or more parameters, comprising determining the one or more parameters according to any of claims 1-6 and further comprising the step of classifying the system in accordance with

the one or more determined parameters into one class of a set of predefined classes defined by predetermined ranges of values of the parameters.

12. A method for communicating an auditory signal, comprising processing the signal by the method according to any of claims 1-6, transmitting the processed signal, and receiving the processed signal by a receiver.

13. A method according to claim 12, wherein, prior to transmission of the processed signal, the signal is coded into a digital representation, and the coded signal is decoded in the receiver so as to reestablish transient pulse shapes perceived by an animal ear such as a human ear as representing the distinct sound pictures of the auditory signal.

14. A method according to claim 13, wherein the digital transmission is performed at a bandwidth of at the most 4000 bits per second.

15. A method according to claim 14, wherein the bandwidth is at the most 2000 bits per second.

16. A method according to claim 15, wherein the bandwidth is in the interval of 800-2000 bits per second.

17. A method according to any of claims 13-16, wherein a second and further pulses in a sequence of identical pulses are represented by a digital value indicating repetition.

18. A method according to any of claims 1-6, comprising filtering the signal v_i in a filter bank comprising a plurality of band-pass filters interconnected in parallel with centre frequencies ranging from 1400 Hz to 6500 Hz, each of which is connected in series with an envelope detector and a filter bank comprising a plurality of low-pass filters interconnected in parallel and having cut-off

frequencies ranging from 300 Hz to 3000 Hz and time constants ranging from 1500 s^{-1} to 12000 s^{-1}.

19. An apparatus for determination of a parameter of a system generating a signal containing information about the parameter, comprising a processor that is adapted to short time transform the signal substantially in accordance with

$$L(\sigma, \omega, t) = \int_0^t v_i(t-\lambda)e^{-(\sigma + j\omega)\lambda + \varphi} d\lambda$$

in which v_i is the signal, L is the transformed signal, σ is a time constant, ω is an angular frequency, and φ is a phase.

20. An apparatus according to claim 19, wherein the processor comprises a filter for filtering the signal v_i and having a pole at $\sigma + j\omega t$ and a pole at $\sigma - j\omega t$.

21. An apparatus according to claim 19 or 20, wherein the processor comprises a plurality of filters for filtering the signal v_i, each filter having a different set of σ and ω values.

22. An apparatus according to claim 19, wherein the apparatus comprises a communication channel transmitter, and the processor is adapted to determine the one or several parameters of the system, and

to transmit the one or several system parameters over a wireless or a cable communication channel.

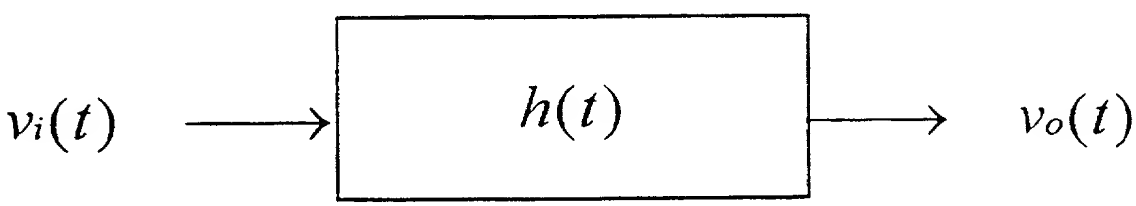

Fig. 1

3 Order, LP, 700 Hz, Butterworth

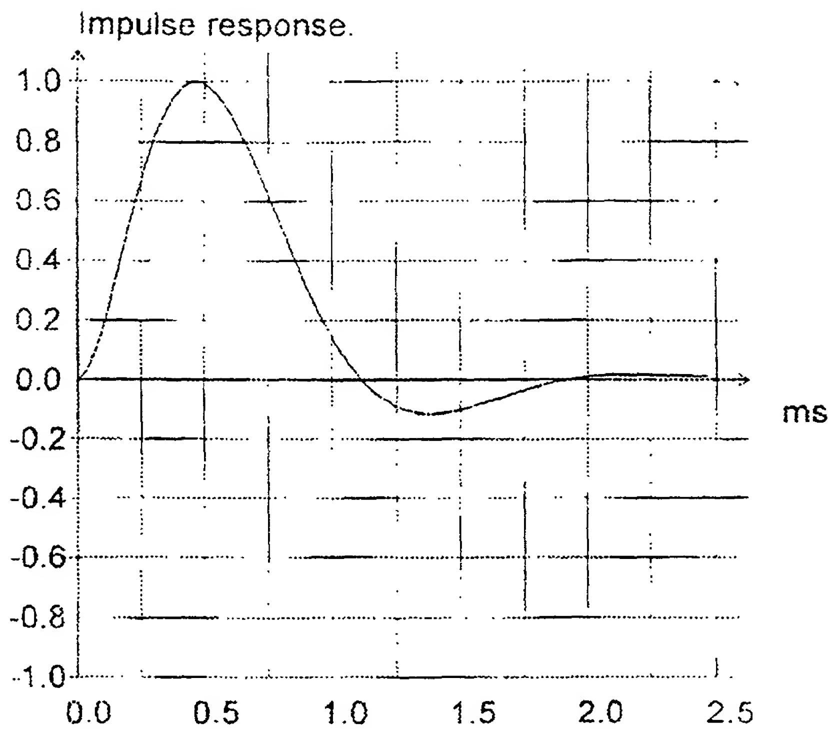

Fig. 2

3. Order, LP, 700 Hz, Butterworth

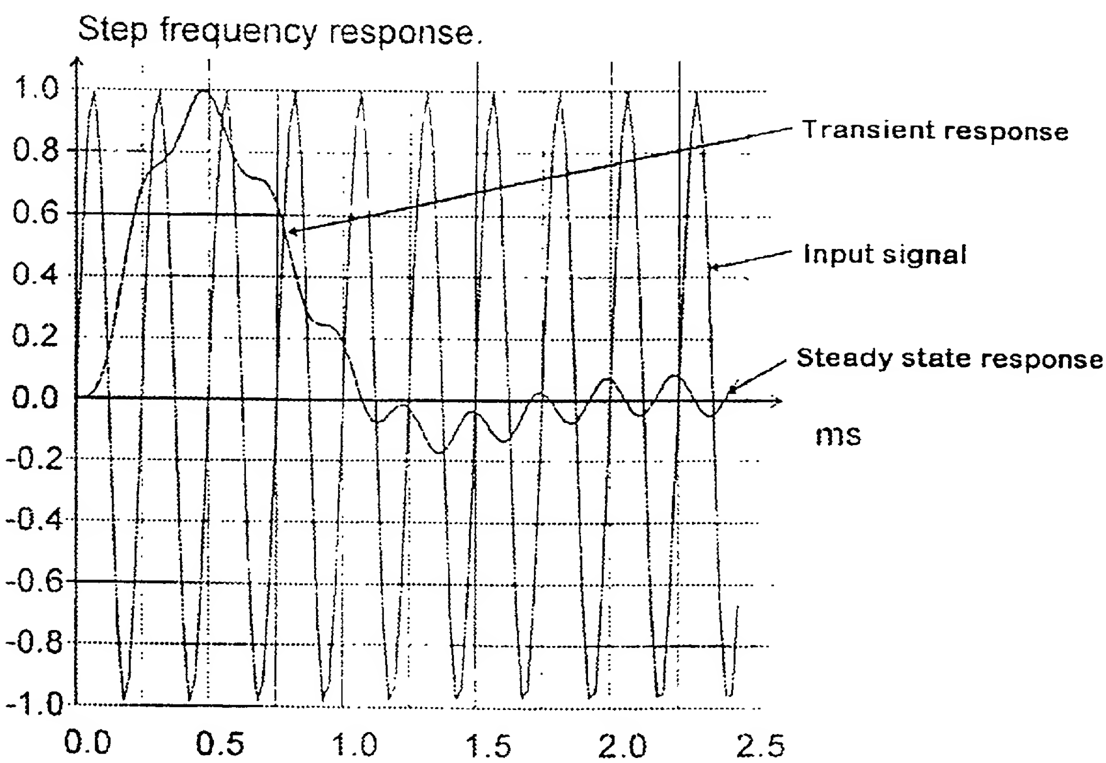

Fig. 3

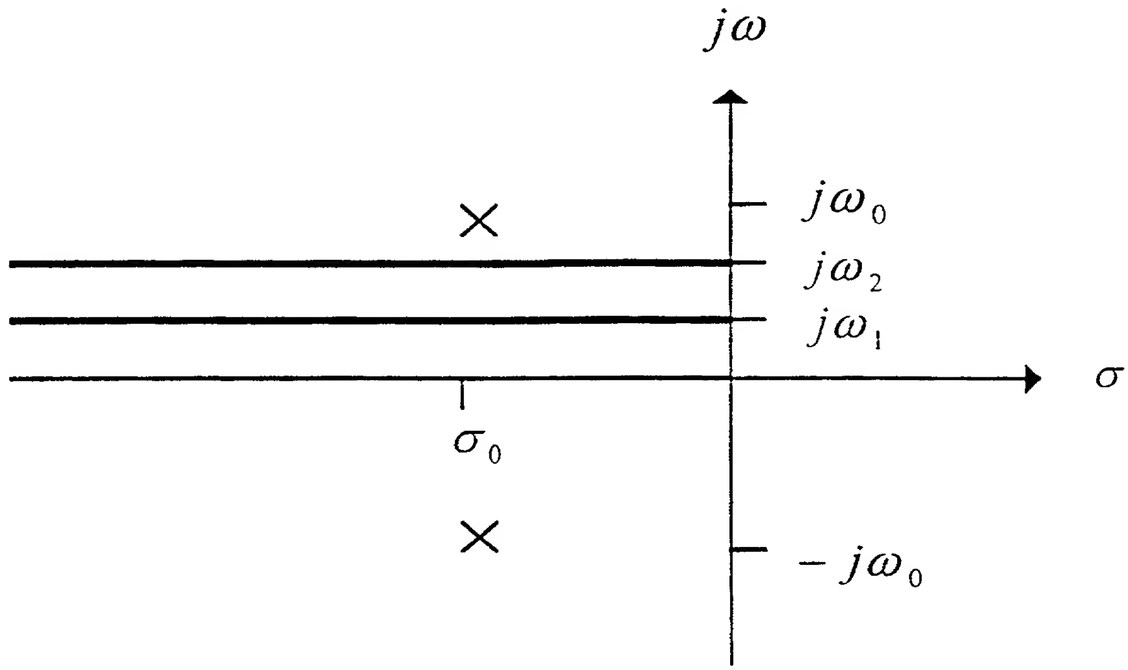

Fig. 4

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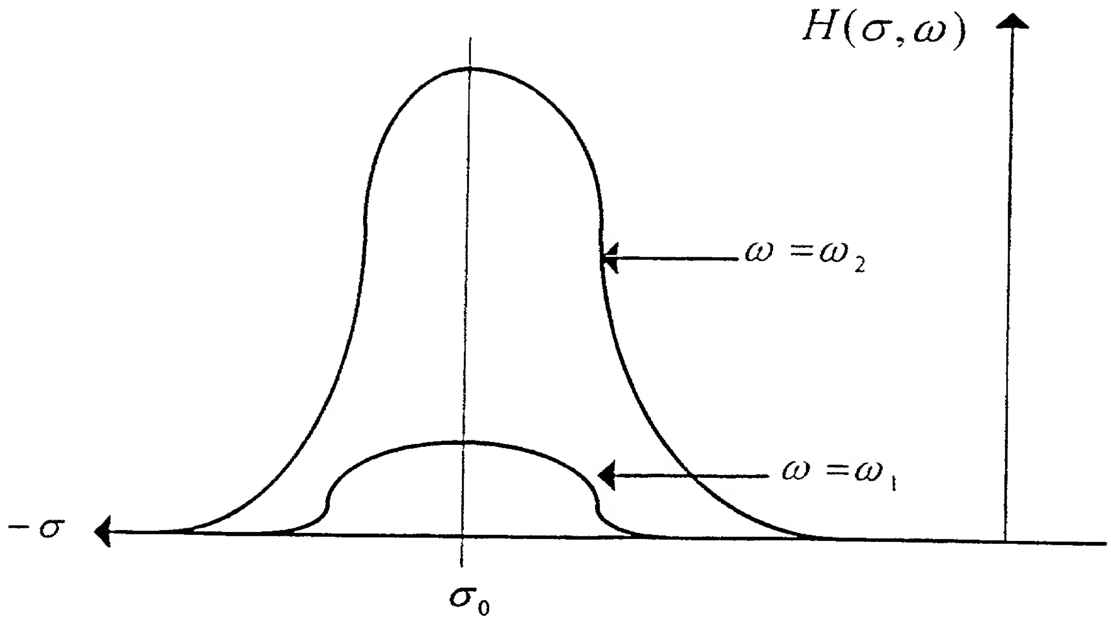
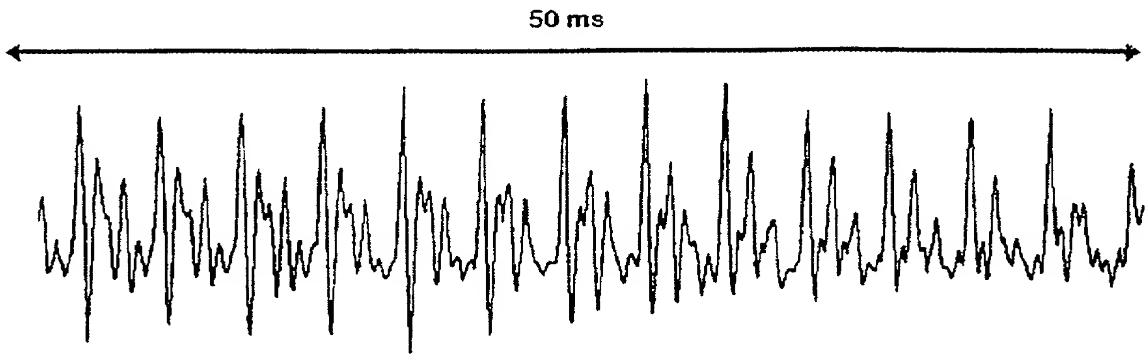
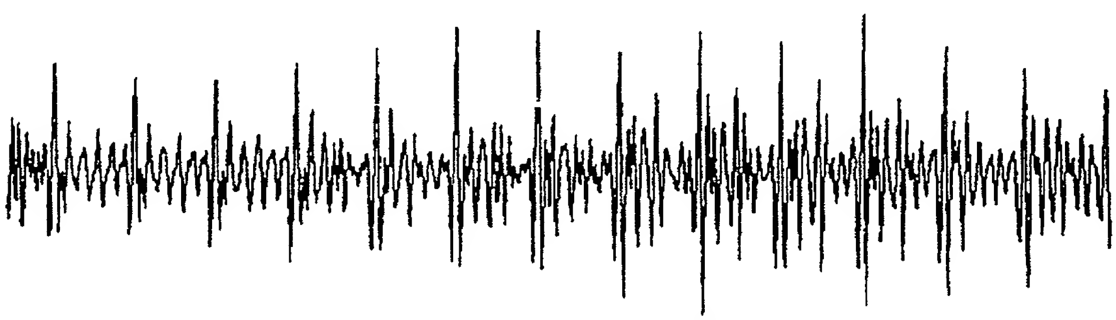


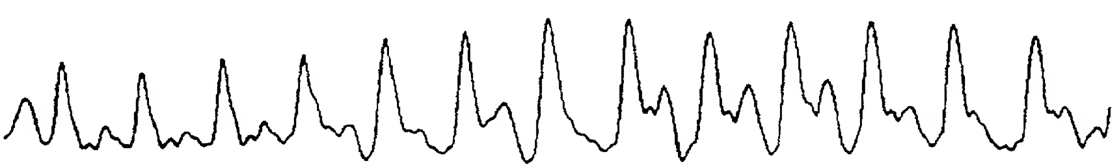
Fig. 5



Speech signal



Transient isolation in the speech signal, band width 2150-3550 Hz



Energy detection of the transient pulses by means of envelop detection,

rectified and low pass filtered at 700 Hz

Fig. 6

Sigma	Max	
9250	0.931	0.81633
8500	0.964	0.81633
7750	0.989	0.81633
7000	1.000	0.81633
6250	0.993	0.81633
5500	0.960	0.81633
4750	0.895	0.81633
4000	0.855	0.90703
3250	0.757	0.90703
2500	0.610	0.99773

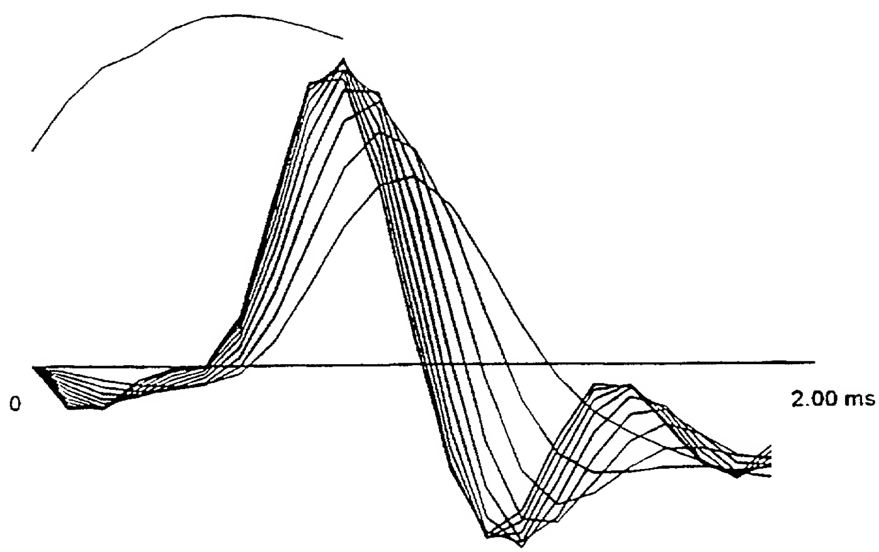


Fig. 7

Sigma	Max	
9250	0.980	0.72562
8500	0.989	0.72562
7750	0.983	0 72562
7000	0.986	0 81633
6250	1.000	0.81633
5500	0.983	0.81633
4750	0.923	0 81633
4000	0.837	0.90703
3250	0.745	0.90703
2500	0.590	0.99773

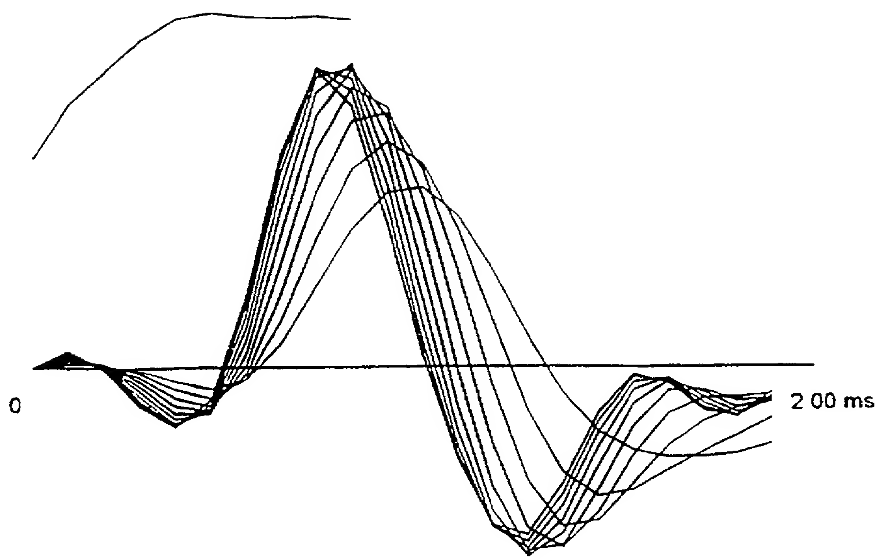


Fig. 8

Sigma	Max	
9250	0.883	0.81633
8500	0.908	0.81633
7750	0.931	0.81633
7000	0.953	0.81633
6250	0.974	0.81633
5500	0.992	0.81633
4750	1.000	0.81633
4000	0.984	0.81633
3250	0.940	0.90703
2500	0.851	0.90703

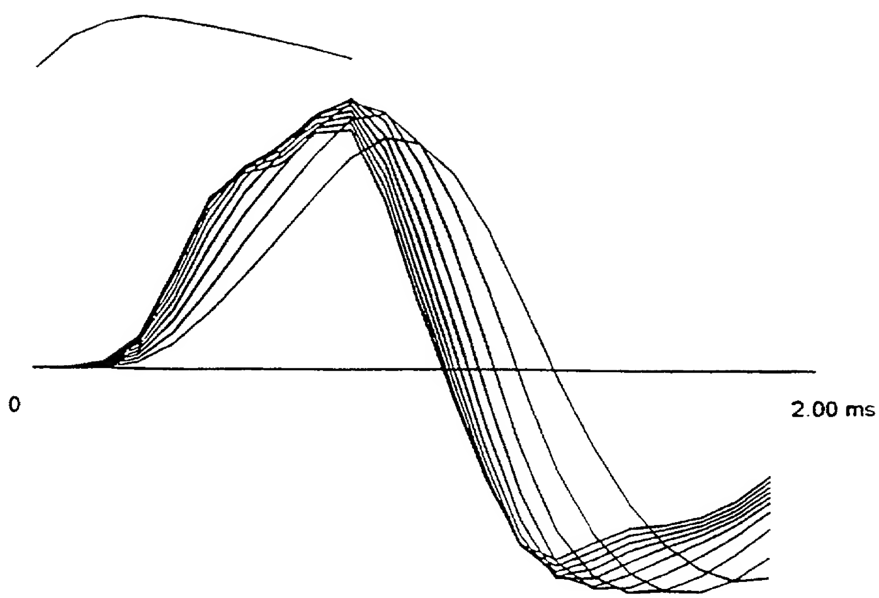


Fig. 9

Sigma	Max	
9250	0.890	0.54422
8500	0 917	0 54422
7750	0 944	0 54422
7000	0.971	0.54422
6250	0.992	0.54422
5500	1.000	0.54422
4750	0.982	0 54422
4000	0.977	0.63492
3250	0.912	0.63492
2500	0.795	0.72562

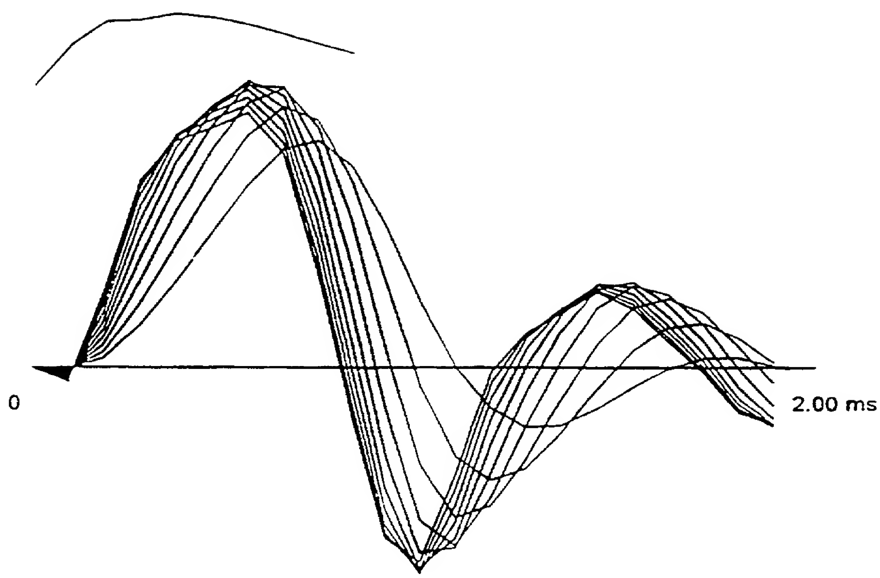


Fig. 10

Sigma	Max	
9250	0.965	0.99773
8500	0.984	0.99773
7750	0.995	0 99773
7000	1.000	0.99773
6250	0.998	0.99773
5500	0.989	0.99773
4750	0.968	0.99773
4000	0.964	1.08844
3250	0.920	1.08844
2500	0.831	1 17914

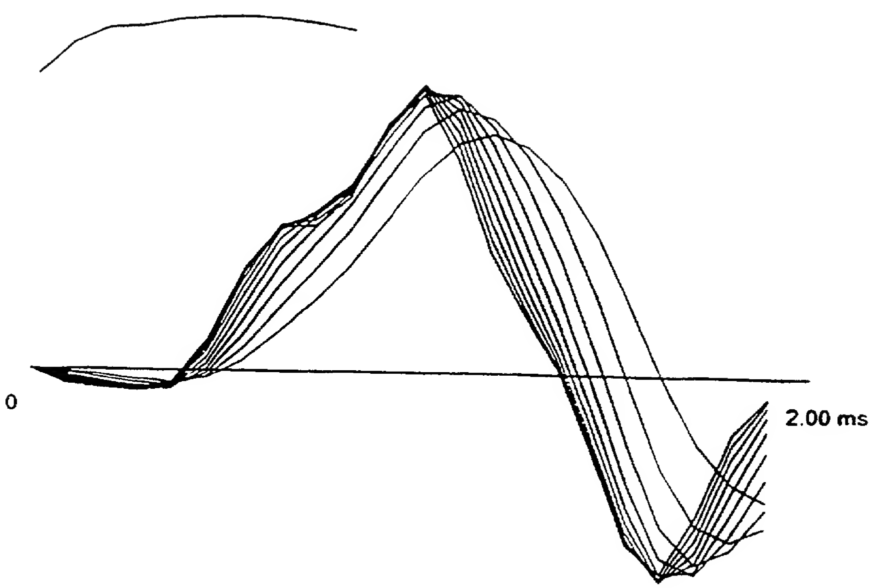


Fig. 11

Sigma	Max	
9250	0 983	0.81633
8500	0.994	0.81633
7750	0.995	0.81633
7000	0.986	0.81633
6250	0.994	0.90703
5500	1.000	0.90703
4750	0.989	0.90703
4000	0.953	0.99773
3250	0.922	0.99773
2500	0.859	1.08844

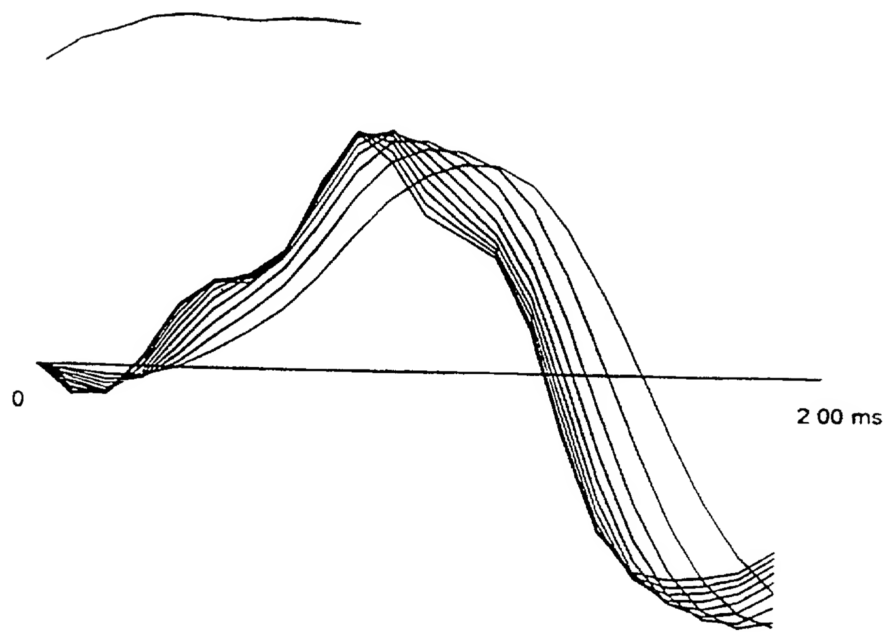


Fig. 12

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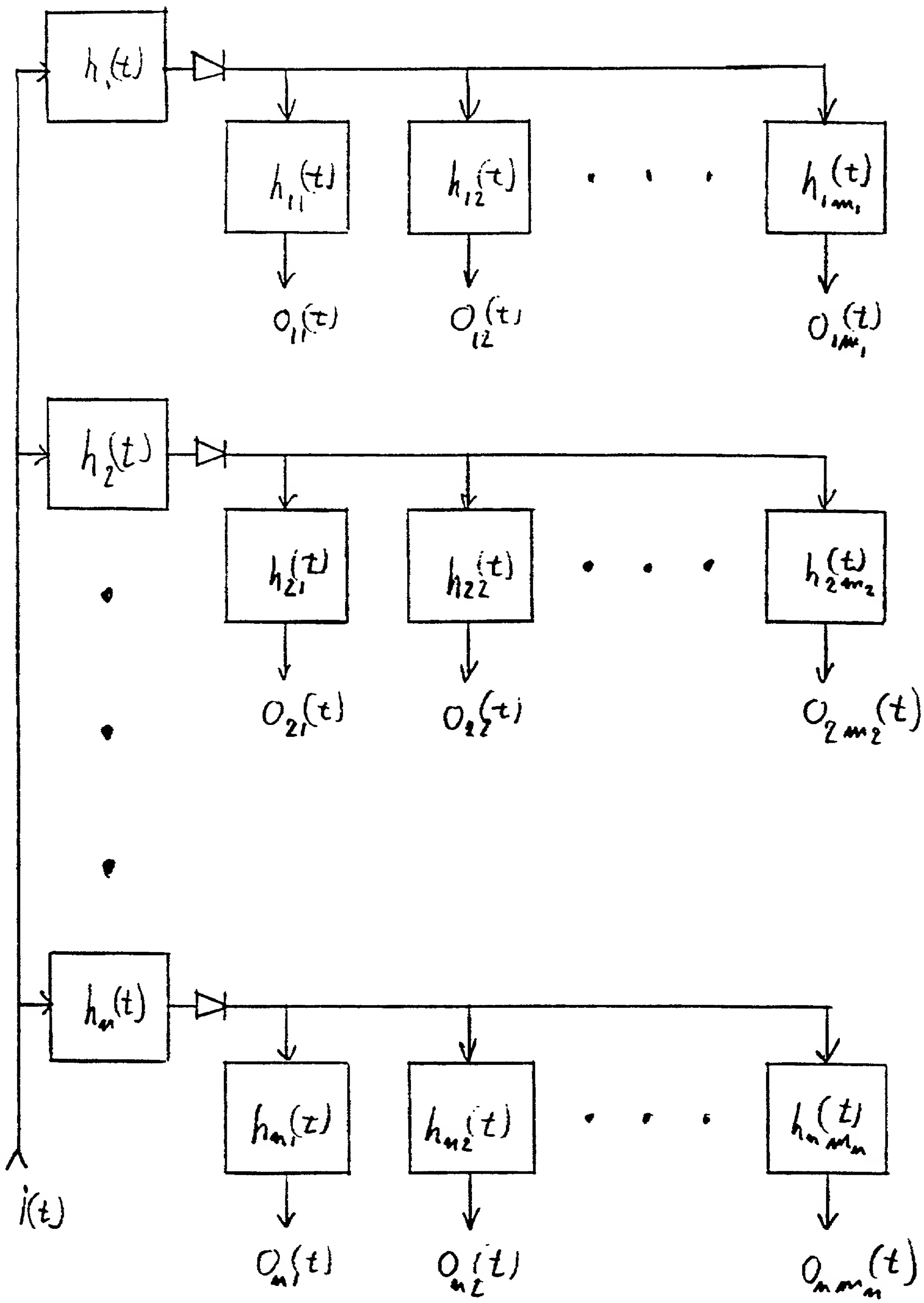

Fig. 13

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COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT AND DESIGN APPLICATIONS

ATTORNEY DOCKET NO. 859-105P

As a below named inventor, I hereby declare that: my residence post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one inventor is named below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: * A signal processing method to analyse transients of speech signals

Insert Title

Check Box If Appropriate — For Use Without Specification Attached

the specification of which is attached hereto unless one of the following boxes is checked:

☐ The Specification was filed on ______ and was assigned Serial No.______ and was amended on ______

☒ was filed as PCT international application number PCT/DK99/00128 on 12 March 1999 and was amended under PCT Article 19 on______ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (six months for designs) prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows:

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below:

Insert Priority Information (if appropriate)

Prior Foreign Application(s)

(Number)	(Country)	(Month/Day/Year Filed)	Priority Claimed	
0361/98	Denmark	March 13, 1999	☒ Yes	☐ No
			☐ Yes	☐ No
			☐ Yes	☐ No
			☐ Yes	☐ No
			☐ Yes	☐ No

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More Than 12 Months (6 Months for Designs) Prior To The Filing Date of This Application:

Country	Application No.	Date of Filing (Month/Day/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status — patented, pending, abandoned)

*NOTE: Must be completed.

859-105P

I hereby appoint the following attorneys to prosecute this application and/or an international application based on this application and to transact all business in the Patent and Trademark Office connected therewith and in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the attorneys identified below, unless the inventor(s) or assignee provides said attorneys with a written notice to the contrary:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole Inventor: Insert Name of Inventor Insert Date This Document Is Signed

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GIVEN NAME FAMILY NAME	INVENTOR'S SIGNATURE	*DATE

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Full Name of Fifth Inventor, If any: see above

GIVEN NAME FAMILY NAME	INVENTOR'S SIGNATURE	*DATE

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(USPTO Approved 3-90)
(Revised 7-93)